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| 2  | SANDY HOOK ADVISORY COMMISSION                       |
| 3  | JULY 12, 2013  |
| 4  | 10:00 A.M.   |
| 5  | Legislative Office Building                          |
| 6  | Hartford, CT   |
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| 12 | SCOTT JACKSON, Commission Chair<br>ADRIENNE BENTMAN  |
| 13 | ROBERT DUCIBELLA TERRY EDELSTEIN                     |
| 14 | KATHLEEN FLAHERTY ALICE FORRESTER                    |
| 15 | EZRA GRIFFITH  DENIS McCARTHY                        |
| 16 | WAYNE SANDFORD DAVID SCHONFELD                       |
| 17 | HAROLD SCHWARTZ BERNARD SULLIVAN                     |
| 18 | DERIVARD SOLET VAN                                   |
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| 24 | CONNECTICUT COURT REPORTERS ASSOCIATION P.O. Box 914 |
| 25 | Canton, CT 06019                                     |
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| 1  | <u>AGENDA</u>  |
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| 2  |  |
| 3  | I. Call to order   |
| 4  | II. Review of 2013 Connecticut General Assembly Legislative<br>Session |
| 5  | Attorney Lou Pepe & Dan Klau   |
| 6  | McElroy, Deutsch, Mulvaney & Carpenter, LLP                            |
| 7  | III. School Security Review  |
| 8  | Kenneth S. Trump<br>President, National School Safety and              |
| 9  | Security Services  |
| 10 | IV. Discussion   |
| 11 | V. Other Business  |
| 12 | VI. Adjournment  |
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(Hearing commenced: 10:00 a.m.) 1 CHAIRMAN JACKSON: It is 10:00 o'clock, so 2 why don't we call to order this meeting of the Sandy 3 Hook Advisory Commission for July 12, 2013. 4 Why don't we introduce ourselves, starting 5 from my left. 6 DR. SCHONFELD: David Schonfeld, Director of the National Center for School Crisis and Bereavement, 8 St. Christopher's Hospital in Philadelphia. 9 MS. EDELSTEIN: Terry Edelstein. 10 Governor Malloy's nonprofit liaison. 11 12 MR. SANDFORD: Wayne Sandford from the 13 University of New Haven. DR. FORRESTER: Alice Forrester, Director of 14 15 Clifford Beers Clinic in New Haven. DR. BENTMAN: Adrienne Bentman, a 16 17 psychiatrist and the psychiatry residency program director at the Institute of Living, Hartford Hospital. 18 19 MR. SULLIVAN: Bernie Sullivan, a retired former Commissioner of Public Safety for the State of 20 Connecticut, former police chief of the City of 21 22 Hartford. CHAIRMAN JACKSON: Scott Jackson, Mayor, Town 23 of Hamden. 24 DR. GRIFFITH: Ezra Griffith from the 25

Department of Psychiatry at the Yale School of 1 Medicine. 2 DR. SCHWARTZ: Harold Schwartz, 3 Psychiatrist-in-Chief at the Institute of Living and 4 Vice-President of the Hebrew Health at Hartford 5 Hospital. 6 MS. FLAHERTY: Kathy Flaherty, Staff Attorney, Statewide Legal Services and Mental Health 8 Advocate. 9 Bob Ducibella, Founding and 10 Mr. DUCIBELLA: Senior Principal, Ducibella, Venter & Santore Security 11 12 Consulting Engineers. 13 CHAIRMAN JACKSON: Thank you, everyone. we know, this session, particularly here in the state 14 15 of Connecticut, has seen a lot of legislative changes, a lot of it tied directly into the tragedy at Sandy 16 17 Hook Elementary School. We've asked two attorneys from the firm of 18 19 McElroy, Deutsch, Mullvaney & Carpenter, LLP, who has volunteered to do pro bono work on behalf of the 20 21 commission, to present to us some legislative -- a 22 summary of the legislative changes pertaining the Sandy Hook tragedy. 23

So I'd ask Attorney Lou Pepe and Attorney Dan Klau to join us here for a presentation. And for part

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of that presentation, we will also be joined by

Professor Susan Schmeiser, who has also been assisting
the commission.

MR. PEPE: Mr. Chairman, members of the commission, thank you for the opportunity to appear here. Before we address the issue on the agenda, if I may just have a moment, with the chairman's permission, to first thank everyone here for the opportunity to serve as pro bono counsel of this commission. We are honored and proud to have that position.

We recognize the importance of the work that this commission does with respect to the well-being of the people of Connecticut, especially its children, and if there is any way in which we can make a small contribution to that effort, we are very pleased to do so.

We -- we probably would like to make clear just who we are, the firm. McElroy, Deutsch,
Mullvaney & Carpenter is a regional law firm with
offices from Delaware to Boston, some 10 offices, 300
lawyers. I've been practicing here in Connecticut for some 43 years. My colleague, Mr. Klau, is much
younger, but also has many years at the bar.

Before our firm, Pepe & Hazard, merged with McElroy, Deutsch, Mullvaney & Carpenter, we had offices

in Hartford and Southport and Boston, and those offices were merged into this firm some three years ago.

With the resources that we have, we think we can meet any needs that the commission may have with respect to legal issues, and we are very pleased to offer those resources for that purpose as the commission sees fit.

When we were asked to serve as pro bono counsel, the scope of the work was not well defined.

I'm not sure it is now. So we -- we just see our position as serving you as you see necessary. What you might determine would be an appropriate legal exercise, we're here to provide that for you and happy to do so.

But we did meet with the mayor a couple of times to get an idea of what he thought we might be able to do, and Mayor Jackson did give us some assignments. One of them was the issue that's on the agenda today, and that was to survey the legislation adopted in the last legislative session, more particularly, those statutes that were passed that would be relevant and meaningful and have an effect upon your work.

And so we did undertake to do that, to conduct that survey, and have produced a work product that I think you all have. It comes in three parts.

There is a memorandum that summarizes at some quite high level the statutes we think that affect your mission. That is followed by a copy of the statute itself. And then behind that is a narrative from the Office of Legal -- Legislative Research which attempts to put forward in layman's language a summary of the nature and the effect of that legislation. We hope that will be a useful reference tool for you as you go forward with your work.

I want to make clear that what we presented was just a survey. We do not pretend to have drilled down to or peeled back any of the statutes in any great depth. But as you look at that legislation as you go forward and find or identify any part of that work product that is of particular interest or concern to you, we stand ready to do further examination of that statute as you see fit. But we thought what we prepared would be helpful for your work in an overview sense.

In addition to what we presented and what I think you now have, all have, Dan has prepared a PowerPoint summary of the highlights of the highlights, if you will. We tried to distill it down into the simplest and most obvious parts of each of the statutes we examined relating to, of course, the gun

legislation, the mental health issues that were addressed by the legislature, and the school safety issues.

If the chairman and the commission think it would be useful, we could go through that PowerPoint presentation quickly without taking too much of your time.

But that's what we've done so far. As I said, we're quite prepared and happy to go further on the legislative issue or on any others you deem appropriate, and we stand ready to help in any way we can.

Dan.

CHAIRMAN JACKSON: At this point let me turn it over to Attorney Klau, and we'd be happy to follow you on the PowerPoint presentation.

MR. KLAU: Great. Thank you, Mr. Chairman, and members of the commission. I won't to add to what Lou said, except personally it is my pleasure also to assist the commission in any way I can.

The only thing I'd like to add beyond what

Lou said in terms of the description of the materials

we handed to you is that beyond the bound packet, at

the suggestion of Terry Edelstein, we also included an

additional public act which I had neglected to include

in the bound packet that was -- it's Public Act 13-178, so that there is a handout for that, and also the OLR, Office of Legislative Research, report.

So I think, with those additions, you now have a fairly complete packet of all the legislation passed last session that's relevant.

Let me also add for your benefit and for the benefit of the insomniacs on CTN who watch this that the -- a PDF version of our memorandum, the one that's at the top of the package, is on the Sandy Hook Advisory Commission -- the government website, and that one has interactive hyperlinks, so if you don't want to carry this around, you can just go to your computer, open that PDF from the website and click on any of the underlined references in this memo to the public acts or to the OLR memos, and that will bring you to the same legislation that's in this package. So it's just a convenience.

So now onto the discussion. Without question, the single most significant piece of legislation that was passed in the most recent legislative session was Public Act 13-3. It made major changes to the State's gun laws, as well as changes regarding mental health issues as they relate to gun violence and also gun permitting, and also makes

changes to school security and infrastructure. So I'd like to talk about those first, if I could.

First, focusing on the gun laws, the public act significantly expands the state's assault weapons ban. Let me say that the focus of my presentation this morning is on major changes to gun laws, so I'm not giving you a lay of the land that includes gun laws that existed prior to this legislative session.

But, by way of the background, the state of Connecticut for many years has had an assault weapons ban. What Public Act 13-3 did was greatly expand that ban. It did so in very technical fashion; that is, the law has very specific descriptions of types of weapons that are considered assault weapons, names of weapons, the manufacturers.

It also has -- it defines the weapons in terms of characteristics. There's a long list of certain kinds of characteristics. And if a weapon has those characteristics or a certain number of them, it's considered an assault weapon.

So essentially the law greatly expands the universe of firearms that now fall within the definition of assault weapon, and by increasing the universe of weapons that meet that definition, they now fall within the law that bans them.

The law also bans the sale, purchase and transfer of large capacity magazines, LCMs. And that is -- a large capacity magazine is defined as a magazine or other device capable of holding ammunition in connection with a weapon that can hold more than 10 bullets. That's the key. More than 10 bullets is a large capacity magazine. Those are banned.

The public act prohibits gun dealers from selling or delivering long guns to anyone under the age of 18. No minimum age for non-dealer salers. So I am not a -- I am not a gun expert, but the way I read the law, weapons fall into sort of three categories. You have pistols, you know, handguns and pistols; you have long guns -- think of your generic .22 rifle, shotguns; and then you have assault weapons.

So long guns were previously -- there was not -- they were not subject to permit requirements the way handguns were, so this change now brings long guns within the ambit of gun legislation and it imposes a minimum age of 18 on selling them.

And it also requires that effective April 1, 2014, anyone buying or receiving a gun must have -- a long gun must have a gun permit or certificate. And the requirements for obtaining those permits or certificates are defined in the law.

deadly weapon offender registry by January 1, 2014.

Now, let me be clear about what this is. Connecticut does not have a firearms registry, with the exception of assault weapons, which must be registered with the state. The law does not require the creation of a database in which any person who owns a gun must register that specific gun with the state. That's not

The act mandates the establishment of a state

what this is.

This is a registry of individuals who have been convicted of certain crimes associated with the use of a deadly weapon or who haven't been convicted, have been found not guilty by reason of an insanity defense. So if you meet that definition, conviction of a crime involving a deadly weapon -- and the public act has a long list of crimes that meet that definition -- or if you are found not guilty by reason of mental disease or defect, you go into this deadly offender registry.

Background checks. Existing law has required certain background checks for certain guns. It did not previously for long guns. It now does require anyone purchasing a long gun from an unlicensed dealer to undergo a national criminal background check.

Yes.

MR. SULLIVAN: As a point of clarification, it's also required if they buy it from a dealer, not just from a non-dealer, so there is no confusion with the public. If they buy a gun from a dealer, it requires a background check also.

MR. KLAU: Thank you for that clarification.

In addition to imposing certain requirements on purchase and sale of guns, the law also now requires anyone buying ammunition to have a state-issued gun credential, and it also imposes an age limit, a minimum age, on anyone who wants to buy -- buy ammunition or ammunition magazines. They cannot be sold to anyone who is under the age of 18.

Actually the law, the public act, also increases the penalties associated with many types of gun-related crimes, gun trafficking, illegal gun possession and other gun-related crimes. And these increased penalties are defined at some great length in the statute.

The law expands the scope of the law banning individuals, the type of individuals -- when I say type, certain individuals -- from getting gun credentials or possessing firearms on mental health grounds. And I'm going to come back to this in another slide in a little bit.

But there's certain people who, by virtue of either their voluntary or involuntary commitment to a psychiatric facility, are not eligible for gun credentials for certain periods of time.

Armor-piercing bullets are banned. And there's a description in the statute of what constitutes an armor-piercing bullet.

The law prohibits people from storing firearms on their premises if they know or have reason to know that someone living there is ineligible to possess firearms under state or federal law.

All right. So this is the point I made earlier about expanding the universe of people who, for mental health reasons, are ineligible. Specifically any person confined to a psychiatric hospital by probate court is ineligible for a gun permit or handgun eligibility certificate for 60 months. Under prior law, they were only ineligible for 12 months, for a year. So that is a significant expansion of the time of ineligibility.

And, second, any person who is voluntarily -who has voluntarily admitted him or herself to a
psychiatric hospital is ineligible for a gun permit or
eligibility certificate for six months, but there's a
carve-out. This does not apply to an individual who

was voluntarily admitted solely for drug or alcohol treatment.

For this type of legislation to work, there has to be coordination amongst various state agencies, so the law imposes certain recording -- reporting and notification requirements on the Department of Emergency Services and Public Protection and the Department of Mental Health and Addiction Services.

DR. SCHWARTZ: Excuse me.

MR. KLAU: Yes.

DR. SCHWARTZ: If I can ask -- I'm over here.

MR. KLAU: I'm sorry. Yes.

DR. SCHWARTZ: If I can ask for clarification, when we're talking about admission to a psychiatric hospital, I just want to clarify, technically that would mean a hospital licensed as a psychiatric hospital as opposed to a psychiatric admission to a general hospital. So are we talking about psychiatric admissions to hospitals or are we talking about admissions to psychiatric hospitals?

MR. KLAU: I appreciate the question and the significance of the distinction, and I know that the term is a defined one in the statute. As I sit here right now, off the top of my head, I do not have the answer to that question.

DR. SCHWARTZ: If I could suggest that given
that my connection to a general hospital that has

psychiatric beds, I know that we are -- we are part of
the discussion, so I'm assuming that the answer is that
it applies to all psychiatric admissions, whether to
general hospitals or to private psychiatric hospitals,
but it would be important to have absolute

clarification of that.

MR. KLAU: As I said, I would be happy to get the answer to that question. I just don't have it at my fingertips. So I will provide that answer.

Let me just see. If you'd give me just one second, I can tell you the specific section. Section 58 of Public Act 13-3 is the section that addresses this, so I will take a look at that and see what the specific definitions are.

And, again, I apologize for not having that at my fingertips, but, as you can imagine, some of the details in these statutes are very detailed.

So that's a summary of the highlights, if you will, of the major changes that Public Act 13-3 made to gun-related laws.

Yes.

DR. SCHONFELD: I just wanted to ask a clarification. About three or four slides back, you

mentioned that it prohibited the ownership of guns in residences or places where people live -- I don't know exactly how it was termed -- if there were individuals who were not able to possess them or they had reason to believe that or ought to believe that.

MR. KLAU: Right.

DR. SCHONFELD: I don't think that's exactly how it's written, because, obviously, 14-year-olds would not be able to own them under the current -- and it doesn't prohibit the ownership of guns in households where there are children living. And so I think, if I recall correctly, it was that unless they secure those weapons so that they cannot be accessed by those who can't own them. Isn't that the way it's written?

MR. PEPE: The statute does have a provision, an exception, if they are properly secured so that the ineligible person cannot access them. The statute does provide that.

DR. SCHONFELD: So then I have -- the question that I have is let's say you have a situation where you have an adult in a home who is unable to purchase the guns or own the guns because they have a criminal record, a violent offender using a weapon, or some issue related to mental health. Would that mean that their 18-year-old child could purchase the weapon

and keep it at the home? And how could they actually state that they could block access to this gun to their parent in the same household?

So I'm just wondering is this really a true restriction? And I understand that it carries penalties if you fail to secure the weapon. That's my understanding. But, really, I doubt that would be a deterrent to an 18-year-old, that if their father got their gun and committed a crime, they could be, you know, then prosecuted, so --

MR. KLAU: I appreciate the question. I do.

And my quip be would be that's why there's litigation.

I mean, because the answer is -- you described a very interesting situation that the statute doesn't clearly answer.

DR. SCHONFELD: The same would be for spouses, domestic partners --

MR. KLAU: Right.

DR. SCHONFELD: -- people cohabitating. So

I'm wondering if this is an area that you think

might -- we might wish to look at further. Or I guess
that more to the group.

MR. KLAU: Just for clarification, that's -this section of the act is -- sections 54 through 56 is
the relevant language. And as Lou said, we would be

happy to look into that issue further if the commission wants further clarification.

DR. SCHWARTZ: Just to follow up on that,
just as a question, more to Scott, I think, than to you
gentlemen, as we're proceeding through this, since
there is so much here, should we sort of raise our
hands and note this is something that we think we want
to make a recommendation about or talk about, you know,
further and just kind of compile a list as we're going
through this presentation rather than trying to
remember, you know, after the fact and get back to all
of the points that we might want to address?

CHAIRMAN JACKSON: Absolutely. I'm noting the questions. And, I mean, what we're really trying to do at this point is take our series of interim recommendations, compare them to what actually happened, determine where we want to continue digging in, making additional recommendations or clarifications to the legislation as it has been proposed here in Connecticut, while keeping in mind that we're not really just talking about Connecticut, right, so even if something -- a singular recommendation of ours, such as recommendation number four in our interim report, which dealt with large capacity magazines, is pretty clearly defined in this Connecticut legislation,

doesn't mean that we exclude it in the final report because the audience for the final report is not specifically Connecticut.

So what we're trying to do here is kind of understand these things, understand how the legislature crafted the document, start to understand some of the gaps or clarifications that will make it more effective here and elsewhere.

So I will -- I am noting these questions and clarifications.

MR. PEPE: If I may, before we leave that, if I'm not interrupting you, back to Professor Schwartz's question, section 58 of 13-3 that Dan referenced says, "Has been voluntarily admitted on or after October 1, 2013, to a hospital for persons with psychiatric disabilities as defined in section 17a-495."

A hospital for persons with psychiatric disabilities as defined in the other statute.

DR. SCHWARTZ: We would probably have to go back to the statute, because it's still a little clear --

MR. PEPE: Yes.

DR. SCHWARTZ: I would interpret a hospital for individuals with psychiatric disabilities to mean a hospital licensed as a private psychiatric hospital.

At the same time I know that the Connecticut Hospital Association, representing all of the general hospitals in the state of Connecticut who have psychiatric units, so that they're general hospitals and would not meet that definition, that they're very involved in developing the logistical response to this.

So I know that it's being interpreted to mean psychiatric admissions everywhere, but it sounds as though, from what you're reading, we'd have to go back to the definition in the first statute.

MR. PEPE: 17a-495.

MR. KLAU: And Professor Schmeiser just pulled that specific statute up that Mr. Pepe is referencing, and let me just briefly tell you how it defines "hospital for psychiatric disabilities."

It means, "Any public or private hospital, retreat, institution, house or place in which any mentally ill person is received or detained as a patient, but shall not include any correctional institution of the state."

DR. SCHWARTZ: I think that applies to hospitals that are licensed as general hospitals.

A VOICE: You're correct on that.

MR. KLAU: So, as I said, what I've presented so far is that 60,000-foot highlight summary of the

majors changes that Public Act 13-3 enacted with respect to gun legislation.

It also deals with mental health issues and security, school security, so I'm going to move now into the mental health area, and I would ask Professor Schmeiser's to follow -- I know she's following along --

CHAIRMAN JACKSON: Before you begin, it looks like we have one more question on this section.

DR. FORRESTER: I'm sorry. I just want to ask Dr. Schwartz if -- the information that's coming through in the discussions that are happening on that legislation would be very interesting, I think, to the commission and would -- would be very interested in making sure that we have testimony related to that, you know, or the findings that the hospitals are making.

I just have one other very -- this might be a very ignorant question, but was there any legislation when the -- if you're in a household that you're not able to secure the guns in the way that is expected, you know, if you have somebody in the home who can't use the guns, is there any -- was there any legislation created where those guns could go?

I know we have buy-back programs and -- sometimes or, you know, do you bring those guns to the

police station? Like was there any sort of definition of what one does with them if you're unable to for the reasons stated?

MR. PEPE: Ma'am, we find -- we find that section 54 of that statute, 13-3, which relates to the gentleman's question, it says, with respect to residents in a home that are ineligible, "No person shall store or keep any loaded firearm on any premises under such person's control if such person knows or reasonably should know that (1) a minor is likely to gain access to the firearm without permission of the parent or guardian; (2) a resident of the premises is ineligible to possess a firearm under state or federal law; or (3) a resident of the premises poses a risk of imminent personal injury to himself or herself to other individuals." Those are the people excluded.

And then it goes on to say, "Unless such person (A) keeps the firearm in a securely locked box or other container or in a location where a reasonable person would believe it to be secure; or (2) carries the firearm on his or her person or within such close proximity thereto that such person can readily retrieve and use the firearm as if the person carried the firearm on his or her person. For the purposes of this section, 'minor' means any person under the age of 16."

It does not speak to disposal of the weapon in a home where there is a resident ineligible.

MR. KLAU: So now moving on to the mental health related changes enacted by the statute, one of them concerns in-service training that must be provided by regional and local boards of education. I'd like to make a small correction to this slide. When I was creating it, the sub-bullet points that I listed are things that actually preexisted under 13-3. These are things that the law has previously required to be components of in-service training.

What 13-3 added to the in-service training requirement is mental health first aid training. So that's the key. I apologize for the misleading slide.

So there has been, for some period of time, in-service training program, and what 13-3 adds to it is the requirement for mental health first aid training.

The act also establishes a task force to study the provision of behavioral health services in the state, with a specific focus on persons who are between the ages of 16 and 25 inclusive. The act directs the commission -- I'm sorry. Is there a question?

DR. BENTMAN: I have a general question, and

that is, when you did your research, did you also look 1 into where the funding sources were going to be for any 2 of these new -- the new legislation that was mandated? 3 MR. KLAU: Well, the legislation itself has 4 funding provisions in many instances. Because of the 5 technical detail, I did not include them in this 6 presentation. But the legislation does address funding for these programs. Whether it's sufficient or not, I 8 profess no position. But it does address that. 9 The act directs the Commissioner of DMHAS to 10 implement an assertive community treatment program to 11 12 provide behavioral support services in three cities. 13 They're not designated in the statute. They simply have to be three cities which, as of June 30, 2013, do 14 15 not have a program that offers those services. I'm going to interrupt 16 CHAIRMAN JACKSON: 17 just to let you know we lost power on the monitor for a minute, so as you flip sides, we have them in front of 18 19 us. 20 MR. KLAU: Okay. 21 CHAIRMAN JACKSON: You can just tell us that 22 you're flipping slides. 23 MR. KLAU: Okay. Pardon me? (Pause.) 24

Is there anything else I need to do? Will it

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come up on its own? Ah! Okay. All right.

So, as I said, the three cities are not defined by the statute. They just have to meet certain criteria or criterion.

The act requires the Commissioner of DMHAS to provide case management and case coordination services to not more than 100 persons with mental illness or involved in the probate court system and who, as of June 30, 2013, were not receiving such services.

It requires the Commissioner of DCF, as if

Justice Katz doesn't have enough on her hands, to

establish and implement a regional behavioral health

consultation and peer coordination program for primary

care providers who serve children.

This is -- this next one is a very long part of the statute.

Yes.

DR. SCHONFELD: I have a point of clarification here for myself, and I think it's probably just understanding the language. But around the key care coordination for those in the probate system, was that --

MR. KLAU: Yes.

DR. SCHONFELD: -- it directs the Commissioner, and it says, "To provide case management

and case coordination services to not more than 100 persons who are not currently being served."

So that -- I assume that that's a budgetary issue that it's not directing that it be done for more than 100, but I assume that does not restrict them from doing it for more than 100.

It's just the way it's worded, it sounds like we direct you not do it to more than 100 people who need the service, but if there were 112 that needed service, I assume they could provide that, or wouldn't they even be encouraged to do so?

MR. KLAU: This language is in section 68 of the statute. And you raise a very interesting question. I assume -- and it's only that -- that the limitation was in recognition of budgetary issues and that they did not want to impose a requirement on DMHAS that was beyond what the financing made available.

DR. SCHONFELD: It might be -- I would want to know what is the gap, so that are there, you know, 150 people who should be receiving this and we are only directing the services for 100, or are there really, you know, a thousand and -- so to know -- I don't know what we're missing here, and that would be concerning. I would hope all of them would get case management services if it seemed prudent.

DR. FORRESTER: I think the other question to this is "not more than" also includes the number zero, so -- but as written, at least as summarized, they could provide no additional services.

MR. KLAU: That's true as written. I mean, I would have expected it to say "at least," for example.

DR. FORRESTER: Right.

MR. KLAU: And that's not the language. So we would be happy to look further into the background of that specific provision and provide a more detail answered.

MS. FLAHERTY: Because my guess, especially considering the number of people who could use case management services, is there are far more than 100 people involved in the probate court system and could use such services.

And my guess is is that 100 people are likely not to receive the services. And I was thinking we might be lucky to get five people or, like you said, perhaps no people, you know. And I think finding out where, you know, the budget numbers -- and I don't know if it was the implementor bill for the budget or specifically the line items in this particular bill, would be a really helpful thing to know in terms of the funding for all the things that are in this bill.

DR. SCHWARTZ: So if you're going to actually 1 2

of this --

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do some research into the kind of legislative history

MR. KLAU: Yes.

DR. SCHWARTZ: -- it would also be interesting to know what was the intention of the phrase "involved in the probate court system," because that could stretch from people who have been committed involuntarily by the probate court to people who require a conservator for any of a number of reasons that do not have anything to do with psychiatric hospitalization to someone who might be involved in contesting a will, but have a question of competence in doing that. It's a very, very broad. It would be interesting to know what the intent was.

Just as a placeholder -- and DR. SCHONFELD: I don't want to divert attention from the presentation -- but it seems like we might want to dive deeper into this, about what is meant by case management and coordination, because it would seem that there's a -- a large part of what we were asked to do is also around protection of the public related to mental illness, and this would seem to be an important area for us to look further into.

> Let me just add on that point I'm MR. KLAU:

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not certain, but in many instances the act has very significant description of what constitutes, for example, management or coordination. I know in this next slide that I'm going to mention dealing with utilization reviews, the act goes on for many pages in excruciating detail to answer very detailed questions, so I will -- we'll also look and see whether the law defines in any specificity case management or coordination, what are the legal expectations for that.

Okay. So those -- those were the -- again, the 60,000-foot perspective on the significant mental health related changes that are in the Public Act 13-3.

And the last of the three major areas affected by the statute is school security legislation. Public Act 13-3 establishes a School Infrastructure Council to develop school safety infrastructure standards. And when you actually look at the act -- this is Section 80 of the act -- it defines, you know, who the members of the council are. I didn't think it was necessary to include that, but you can look for more detail in Section 80.

The act makes the -- as everybody
understands, schools, local, regional often apply to
the state for grants to assist them with school
building infrastructure projects, and one of the things

that the act does is make the success -- the successful application dependent upon compliance with these new infrastructure standards.

So if a local or regional school system submits an application for funds for a school-related infrastructure project and the application does not satisfy these new infrastructure standards, that is specified -- defined in the act as a reason to deny the application. So it creates a financial incentive for towns that are looking for state aid to comply with the infrastructure standards.

The act directs the Department of Emergency Services and Public Protection, the Department of Construction Services and the Department of Education to jointly administer a school security infrastructure competitive grant program to reimburse towns for infrastructure-related expenses. It directs DESPP, in consultation with the Department of Education, to develop school security and safety plan standards.

So think of this as, at the state level, those two departments will develop the standards, and then at the local and regional level, school systems must develop and implement school security and safety plans for each school within their jurisdiction.

And, of course, when they develop those

school-specific and town-specific plans, they're going to have to do so in light of the -- you know, the standards and recommendations that have been made at the state level by DESPP and the Department of Education.

establish a committee -- it can be a new committee, it could be reassigning or expanding the scope of an existing committee -- but that committee shall be responsible for developing and fostering a safe school climate and addressing issues related to bullying in the school. This issue of bullying in the school appears in several provisions of the act.

The act directs the Commissioner of DMHAS, in consultation with the Commissioner of Education, to administer a mental health first aid training program to help attendees recognize signs of mental disorders in children and young adults.

I probably, in retrospect, should have put this slide back earlier in the program, in the mental health portion, where I mentioned that the in-service training program was expanded to require mental first aid training. But for -- this particular section of the act that has this requirement in it pops up in the middle of the school security section of the act, and

that was the reason it came out this way. But it really does strike me more as a mental health issue than a school security one.

All right. So that is -- that is the end of 13-3.

There's one other important statute that you have to keep in mind, and it's Public Act 13-220. I remember former state senator, now Justice Andrew McDonald, explaining at a meeting that every time the legislature comes into session, probably a third or more of its time is spent fixing the problems of legislation that was passed the previous session.

Well, in this case the legislature didn't wait until the next legislative session to fix the problems with 13-3. Within the session, after 13-3 was passed, amendments were immediately made to that act. So 13-220 is an add-on or a tack-on to 13-3.

And the most important changes that it makes to 13-3 relate to assault weapons. And the question came up, well, what happens to people who owned assault weapons as of a certain date. And 13-220 clarifies that anyone who owned a weapon on or before April 4, 2013 -- and that date was a significant date -- that was the effective date of 13-3 -- could continue to possess the assault weapon.

I imagine -- and this is only from reading
the papers -- there were an awful lot of people who ran
out and bought assault weapons right up through and
including April 4th. So this law sort of captures
those people and allows them to continue to possess the
weapons. They have to be registered. But that was a
major effect of the bill.

The bill also expands the list of law enforcement officials who can possess assault weapons that are otherwise banned under the act. I'm actually going to ask Professor Schmeiser if she would come up and talk about this next act. This is Public Act 13-178.

Do you want to just do it from there? Okay. Fine. I do. Yep.

MS. SCHMEISER: Hi. Thank you for inviting you to join Attorneys Klau and Pepe. I'm just going to talk very briefly about another recent piece of legislation, which is Public Act 13-178. It's titled, "An Act Concerning the Mental, Emotional and Behavioral Health of Youths." And it's a pretty comprehensive statute that identifies and targets various aspects of issues, mental, behavioral and health issues, suffered by children and young adults.

So this act -- it has a number of

requirements for different agencies, foremost among which is DCF, which the act directs to come up -directs the Commissioner of DCF to come up with a comprehensive implementation plan for meeting the mental, emotional and behavioral health needs of all children in the state and reducing the long-term negative impact of mental, emotional and behavioral health issues on children. And it has various substantive and reporting requirements that are associated with the development of this plan and ultimately the implementation of the plan, but beginning with the development of the plan.

It also has certain requirements addressed to the new Office of Early Childhood, which didn't even yet exist at the time that this law was signed, but does now, which has to collaborate with DCF on a number of things, providing recommendations to legislative committees on coordinating home visitation programs that offer services to vulnerable families with young children; to collaborate with DCF to provide professional development training to pediatricians and child care providers to help prevent and identify mental, emotional and behavioral health issues by using certain established models of evaluation that the statute specifies focus on maternal depression and its

effects on young children and child development. So home visitation programs.

And also it directs the Office of Early
Childhood to collaborate with DCF, the Department of
Public Health and the Department of Education to launch
a broad public information and education campaign on
children's mental, emotional and behavioral health
issues, so -- and it specifies a number of issues that
this education campaign should address, and one of
which is strategies to address the stigma associated
with mental illness. So a broad education campaign has
to be launched, and then there are certain reporting
requirements associated with that.

The law also had certain requirements addressed to the Birth-to-Three program in Connecticut, which is now required to provide mental health services to all young children up to age three -- or under age three who are eligible to receive those services under the Individuals with Disabilities in Education Act, and to offer referrals to licensed mental health providers as needed for those who are not eligible for Birth-to-Three service, early intervention services.

So if a child is eligible for early intervention, he or she also has to receive mental health services as needed under Birth-to-Three, and if

a child is not eligible for early intervention, then the family has to get a referral to a licensed mental health provider if needed.

What's next? Yes.

CHAIRMAN JACKSON: Dr. Forrester.

DR. FORRESTER: A question on that. Was there legislation on how that was paid for?

MS. SCHMEISER: Let me just look at the actual -- this statute has some more specific language about funding, but the language is cached in terms of authorizing an agency to apply for funding from private or public sources. So let me -- Birth-to-Three program administrative -- no. No, nothing about funding for that.

DR. FORRESTER: Just for clarification, one cannot bill under a Medicaid code for a child for mental health from zero to three. Birth-to-Three is the only payer, source of payer for mental health services, but the child needs to be identified.

DCF has a few early intervention mental health programs, Child First being one of them, that's grant-funded. But, in general, there are no true resources for children under three in the mental health system.

DR. SCHONFELD: Just -- it's a point of

clarification, and you may not know the answer right away. But when we're talking about the requirement for children who have mental, emotional and behavioral health issues to be referred to a licensed mental health provider, does that suggest that the pediatrician cannot provide behavioral health services or work with children birth to three who have mental or emotional concerns?

So I would -- if we can look into that, because I don't think that would be the intention and would run counter to the aim of training pediatricians on how to handle these issues, plus I don't think we really have the capacity for all children birth to three who have mental, emotional or behavioral health issues to go to any one group of providers.

MS. SCHMEISER: Right. Right. Yeah. It just says, "Any child not eligible for services under this act shall be referred by the program to a licensed mental healthcare provider for evaluation and treatment as needed."

So presumably if they could receive treatment through a primary care provider, pediatrician, then it wouldn't be necessary to --

DR. SCHONFELD: It would be helpful if we could --

1 MS. SCHMEISER: Yeah, we should look into 2 that. DR. SCHONFELD: -- delve into that a little 3 more, because it might have an unintended 4 consequence --5 MS. SCHMEISER: Yeah. 6 7 DR. SCHONFELD: -- which would go counter to the effort so try and increase collaboration between 8 these healthcare providers. 9 DR. FORRESTER: And I just want to add to 10 Dr. Schonfeld, it is the pediatricians who are the only 11 12 people who can actually bill for that age for any sort 13 of codes in behavioral health, so it would be contrary 14 to --15 MS. SCHMEISER: Wow. (Inaublible) I'm sorry. We're working off his PowerPoint 16 17 and my notes, which are separate. So training for school resource officers, 18 19 mental healthcare providers, pediatricians and child 20 care providers offered by, let's see -- okay. This is 21 part of -- I think this is part of the DCF's 22 obligations. Right? MR. KLAU: Yes. Part of DCF. 23 MS. SCHMEISER: Yeah, yeah, yeah. So DCF has 24 Yeah, yeah, yeah. 25 to -- let's see. Sorry. Right.

Okay. Local law enforcement agencies and local and regional boards of education that employ or engage school resource officers shall, provided federal funds are available, train school resource officers in nationally recognized best practices to deal with the victimization of students with mental health issues and the disproportionate referral to the juvenile justice system. That comes up in a later portion of the act as well.

So -- so the act has a number of directives addressed to DCF, to the new Office of Early Childhood, coordination and collaboration mandates for various agencies. It also establishes a Children's Mental Health Task Force to specifically study the effects of nutrition, genetics, complementary and alternative treatments, and psychotropic drugs on the mental, emotional and behavioral health of children within the state. And then it sets out how the members of the task force are going to be selected and who's responsible for selecting which member.

And there are certain -- and the task force has to engage in a study and then come back and advise the General Assembly and the Governor on the coordinating and administering state programs that might address the impact of these effects on the

mental, emotional and behavioral health of children.

So the effects, again, of -- specifically of the nutrition, genetics, complementary and alternative treatments and psychotropic drugs on the mental, behavioral and emotional health of children within the state. And, finally, the act --

DR. SCHWARTZ: Before you go on, just a question about this. This seems such a huge wastebasket of issues that can affect a child's emotional health, and including some of the items -- and, frankly, it's such a huge wastebasket, I guess I almost don't know how to address -- how to say exactly what it is I'm trying to get at here, but I'm wondering if you know anything more about the legislative history of this particular clause and can help us with that.

But, if not, then I would suggest to the commission that this is something that we need to understand better, look into better, and address. What are alternative treatments? What are we including in complementary treatments, et cetera? I mean, I could go on.

MS. SCHMEISER: Yeah. I don't know anything offhand about how these particular factors came to be included, but it would be interesting to look into that certainly.

MS. FLAHERTY: The question that I have about the task force under this bill and under 13-3 is that the task force actually are outlined with specific duties and actually specific deadlines under the statutes and are supposed to be appointed by certain deadlines, and I'm actually wondering if we know whether all the members of those task force are being appointed by the deadlines outlined in the statutes, because they should be getting to work pretty soon.

And I'm wondering if we know anything about that.

MS. SCHMEISER: I don't know anything.

MR. PEPE: First to Dr. Schwartz's question, we'll work with Professor Schmeiser to parse that part of the statute and perhaps put together a memorandum that would make it more user friendly and understandable.

In the process, we'll work together to look at the legislative history. There may or may not be some quidance there, but if there is, we'll include it.

As to Attorney Flaherty's question, we're not familiar with what actions have been taken with respect to the mandatory task forces, but we'll look at that also and report back on whether the appointments have been made and, if so, where that task force is in its undertaking.

MS. SCHMEISER: And I just want to highlight one final -- I think it's just one more final provision of 1-78, which is a provision authorizing the Judicial Branch, in collaboration with DCF and the Department of Correction, to -- it says, "To seek public or private funding for a study, " and presumably to actually engage in the study, "assessing the extent to which children and young adults whose primary need is mental health intervention are instead placed in the juvenile justice or correctional system; " also, "A study determining the impact of such inappropriate referrals on the mental, emotional and behavioral health of youths and on state financing"; that is, determining the cost to the state of such inappropriate referrals and identifying programs that would reduce inappropriate referrals; and, finally, making recommendations to ensure the availability of proper treatment for children with these issues, mental, emotional and behavioral issues, to avoid these inappropriate referrals. And, again, the Judicial Branch has certain reporting requirements if it does -- if it does get the funding to engage in such a study.

I think that's it.

MR. KLAU: That's it.

I think, Mr. Chairman, that covers MR. PEPE:

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the overview. I offered at the beginning to go further in any particular area that was of concern or interest to the commission members, and I think the presentation made those apparent.

We've taken some notes. I think -- I think we captured the questions that arose. We could, of course, check the -- check the tape.

If the commission wishes, we'll undertake to peel back those parts of those statutes that caused some question or concern and file a report with the chairman, if that's appropriate.

CHAIRMAN JACKSON: Yes. Thank you very much.

I have nine such areas identified we can discuss off
line.

Dr. Schonfeld.

DR. SCHONFELD: One additional question. In the summary that you provided prior to the meeting, there was one bill you didn't present here that I had a question on, and that's the last one in the binder, Substitute Senate Bill 1149 or Public Act 3-11. And this has to do with limiting the disclosure of certain law enforcement agencies. It attempts to balance the privacy of victims and the rights of the public to know through the Freedom of Information Act having to do with certain video and audio recordings related to

victims or the impact of that.

And initially I had questions on this because the summary talked about how it sun-setted in May of 2014, and I didn't know why until I read through the bill a little bit more, because there's a task force that's established to study it prior to that time to try and look further at balancing those needs.

So I understand the way this bill is written is it talks about balancing the very appropriate rights of victims and the very appropriate rights of the public to know. What is absent in this bill, and I wonder what the commission thinks, is it does not address the issue of the impact of the release of this information on the general public.

So it presumes that the public wants to and benefits by seeing audio and video of victims from very traumatic events. And, actually, the research suggests that for the general public, the amount of posttraumatic reactions is correlated positively with the amount of exposure.

And absent from the members of this task force appears to be anyone that would have -- be able to contribute to that discussion, and so I'm wondering if that's something our commission wants to address, because they're very legitimate balance -- very

legitimate issues around balancing the Freedom of
Information Act with the victims' rights, but there
also is another silent and perhaps even more pressing
issue in my opinion about the protection of children
and adults from media exposure that could -- that
contain graphic images of these events.

CHAIRMAN JACKSON: I have in my notes for other discussion just such a conversation,

Dr. Schonfeld. Thank you.

Any other questions for the panel? Thank you for your time and your hard work, and we will certainly continue to work together to identify some additional gaps or clarifications that may be required.

It came up a number of times, there is a very common phrase in government that is "subject to appropriation." A lot of things were established, created, but not specifically funded in any given way, so that is something certainly that we need to be -- we need to be aware of.

Thank you for your time.

MR. PEPE: Thank you, Mr. Chairman.

MS. SCHMEISER: Thank you.

CHAIRMAN JACKSON: We'll take a brief five-minute break and return with Kenneth Trump, a national school security expert.

(Recess.)

CHAIRMAN JACKSON: Let's reconvene. Thank

you for your patience, Mr. Trump. Joining us today we

have Ken Trump, National School Safety and Security

Services. Mr. Trump was originally supposed to present

to us that week where we had 40 inches of snow, so we

were not able to convene.

We are happy to have him here now, and particularly after we've made some interim recommendations regarding school security, and would love to hear his comments on those, as well as general thoughts on the right way to go about enacting security programs in a way -- in ways that do not hinder academic progress.

Mr. Trump, welcome, and the floor is yours.

MR. TRUMP: (Via Skype) Good morning,
Mr. Chairman. And thank you for your patience in
connecting by email so often over the last several
months as we've touched base.

Thank you also to the commission members and to everyone there for your service. You certainly know that you're providing a service to the citizens of, A, Connecticut, but that you're also performing one for the rest of us around the country with your findings,

your recommendations and your process, so we can take and learn on the body of knowledge that we have.

I have provided a handout, a copy of a

PowerPoint that I have that I'd ask you guys if you

want me to go through that, it might present an

overview of what we've -- what we know about school

security and emergency preparedness and planning

aspects of safety and where that fits into the overall

process.

I'm going to put that up in front of me under the camera here for a moment. You should still be able to see me. And then I'll -- when I finish, certainly we can have a dialogue and discussion about that.

I'd like to say that I've been a school security professional for more than 25 years. I started out in the Cleveland city school system working in the high school, junior highs as an officer, as an investigator for the school district, and supervised the youth gang unit. There was five people that handled all gang-related issues or prevention and preparedness for 127 schools, 73,000 students.

I worked with the suburban school district of about 15,000 students, 21 schools as the director of security, and during that time developed a part-time experience at presenting, training, working with school

districts around the country who asked for training based on what we learned of the gangs at schools and violence and prevention, and expanded that into a business for the last 20-some years where we worked with schools doing school security assessments, professional development training, table-top exercises, emergency planning reviews and related types of non-product affiliated activities. So that's my security specific background.

But as a father, my thoughts and prayers, first and foremost, have been with the families from Newtown. I'm a nearly 55-year-old father of two young children myself, and even with 25 years of experience, like everyone else in the country, this was certainly a punch in the stomach that hit us all, so it just intensified my passion to do what we do.

As we look at the impact of the Sandy Hook shootings across the country -- I was around during the day -- days of Columbine and thereafter and saw their reaction, but I think that Sandy Hook was even more difficult for us than Columbine because of the ages of the children and the number of children and the way in which this tragedy occurred. And it's impacted the conversation that we've had nationally on school security and emergency planning.

One of the most difficult challenges we have after a high-profile incident like this is to try to learn -- try to advance what we know and how we respond at the same time without making knee-jerk reactions, without legislating, setting school policy and changing best practices in a knee-jerk, emotional way at the same time.

We've had conversations that those of us in the field for several decades find quite concerning across the country, ranging from arming school custodians and teachers to buying bulletproof backpacks and ballistic white boards to teaching children to throw things at and attack an armed gunman by throwing soup cans at them. We've sort of heard it all since December.

And a lot of those things are based on the understandable emotional need that people have to do something extra, do something additional beyond what we've been doing in best practices, particularly since Columbine. And that's caused those of us in the field a great deal of difficulty in pulling people back, trying to make professional best practice recommendations rather than those based upon emotions.

As a father, I have that emotional aspect.

As a school safety professional, I know that I have to

pull those emotions back and try to make sure that we don't stop doing the best practices that we know work, but still at the same time glean lessons learned and try to do things even better and take something from this tragic event.

So that's an important part of what I'll be saying today, is that there are many best practices that have been established that we don't need to eliminate because -- specifically because of the Sandy Hook incident.

The policy and funding context is something else I'll discuss along with the trends. If you look at the chart with the -- slide with the arrows, there's been a clear direction in school safety from the front lines of the field. After Columbine, we had a great deal of financial resources, policies and programs from the federal government down through the states and at the local level in school districts, but in the last five or six years prior to Sandy Hook, a lot of that's suffered from budget cuts at all three levels, from the fact that the farther we are from a high-profile incident, the easier it is to become more complacent.

If I leave you with nothing else, one of the things that I always say, the question is never whether a Columbine or a Sandy Hook incident is a wake-up call.

The question is six months or six years down the road, will we still be having the conversations, focused policy and funding on school safety as we have in the days and months after an incident. And that's very hard to sustain.

So the question I think that's before you and before all of us is how do we not only do what needs to be done today and tomorrow, but do something that's sustainable down the road.

For example, after Columbine, a great deal of resources, largely from the federal government, Justice Department cops in schools grants put school resource officers, police officers, into our schools across the country on a four-year step-down grant.

That was wonderful during the first four years, but once there had to be full local ownership of that, it became a budget issue, and questions of whether the school district's going to pick up the tab, the police department, who's going to do the budget work, the financial piece, when the four-year federal grants have disappeared. So the issue becomes sustainability.

And what we saw is that many school resource officer programs, when the grants went away, the programs went away or reduced or diminished and not

sustained at the levels that they were in the years immediately following.

And in the big picture, what I saw and what my counterparts across the country see after -- in the -- overall is that when there is a decrease in prevention, intervention, security, preparedness and training strategies around school safety in local schools and those agencies that support them, it's very clear -- and it may not be an academically researched project that's been studied for multiple years -- but it's very clear that we see an increase in serious incidents, lawsuits. I do expert witness work and get -- you can see the calls for negligent security concerns and certainly parent and media and other attention to school safety.

So the challenge is how do we keep that black arrow on the left from going down on prevention, intervention, security and preparedness.

And the next slide, we like to stress that if -- that there is a continuum of threats that school districts face. And with that continuum of threats, we have to realize that there must be a continuum of responses. As we look at the continuum of threats on a day-to-day basis, the greatest threat to safety in most schools in this country would be bullying, aggressive

behavior, verbal threats, interpersonal conflicts, but as we continue along the continuum, the challenges for safety could include weather and natural disasters as we've seen from Oklahoma recently to across the country in Joplin years -- several years before, to custody issues, irate parents, disgruntled individuals, former employees who may be disgruntled that have been terminated.

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We're seeing a new wave of issues in terms of how certain types of threats are delivered. days past where bomb threats were called in by phone to schools and death threats may have come in the form of a note that was left on somebody's locker, today we're seeing those threats sent through Facebook, through proxy servers that are located internationally as a go-through that are sent to schools, where a school will get a threat that's been sent through a proxy, one or two proxy servers in another country, that makes not only local enforcement get involved, but even the FBI, and in an extreme case -- situation, on the other end of that continuum are lone wolf actors, are active shooters, and we have to realistically even have a conversation about the potential impact with terrorism.

We have seen the Boston Marathon bombings and the Beslan, Russia, incident at a school in 2004 and

know that terrorism in an extreme, extreme end of the continuum could affect us as well.

So that's important, and it's important to keep that context, because as we -- so that we don't -- don't focus our legislative policy and practice efforts strictly on one end of the school safety continuum, either active shooters alone, or on the other end, just bullying alone. There has to be a comprehensive response.

And if you look at the next slide, with that continuum of threats need to be a continuum of responses. Those responses would fall under categories, including prevention, intervention, preparedness, and response. They may range, in more concrete terms, to things like violence prevention curriculum, counseling, and mediation and conflict resolution, mental health services.

We have a significant need, as the commission has addressed, to address mental health services. And when we have schools that share one school psychologist for a dozen schools and one counselor for five elementary schools, where the person is only in the building a half a day a week, it's not surprising that our children in our schools are not getting enough support to deal with the mental health issues.

We've always said -- and my colleagues and I have always said it's not just a question of whether your classroom teachers at the elementary schools can recognize many warning signs of the child that has potential warning signs of violence and heading down the wrong path; it's a question of what do they do once they recognize it.

Many of our second grade teachers could recognize children who send off red flags. The problem is what do they do once they recognize them and what resources are there.

We also have to have emergency crisis

planning, reasonable security measures in law

enforcement. For years -- if you think about it, for

years we could walk into a fast food restaurant, you go

in through a limited number of open doors. When you go

in, someone says, Good morning, can I help you; good

afternoon; hi, may I help you. They've been trained to

do that. And there is a security -- surveillance

camera in the drive-through window. And for years

we've protected hamburger better than we have our

children in terms of basic security measures.

You can walk through many schools with doors that are left open, where people are not trained and don't greet and challenge strangers, and where we have

a lot of philosophical debates as to whether Big
Brother is watching if we put some basic cameras and.
Around the schools, but we do the same thing for
children, go out in our halls, and if they don't have
adequate basic security measures, people sue them if an
incident occurs for negligent security.

So we don't need to have children being strip-searched on the first day of kindergarten or a SWAT team at the elementary school door, but we also need to have some basic security measures that we'll talk about, such as access control, communications capabilities, some -- some security surveillance cameras that address certain needs and some other specifics that I'll get into along the way, and do that in a reasonable, focused manner, in a balanced approach.

The use of law enforcement. Our school resource officers, with the right officer and the right, properly designed and supervised program, are prevention programs. School resource officers prevent more violence than they do in terms of making arrests if you have properly trained and the right officer who wants that job.

Collaboration and partnership schools working with elected officials, mental health community, first

responders, emergency management agencies are all part of this continuum of response. And we can't -- just as we can't focus on bullying alone or active shooters alone, we can't focus on mental health alone or security hardware or school policing alone either.

And as you see, just under security and preparedness, we can peel these off, each of these items off like an onion and go through the different levels, with security not only meaning physical security, your access to your doors, control for your doors, your hardware, your security equipment, your lighting, your communications, but good security is really focused first and foremost on the people.

We can put the buzzer camera intercom at the front door, but if we don't train people how to control that, operate that, ask the right questions from the main office, train our parents and legitimate visitors not to piggyback on the person who goes in ahead of them, if we don't train our students not to open the side door for a stranger or even anyone that they know who's outside because that's not their role, then all the technology and all the equipment in the world is not going to make a difference.

We have to have a balance between hardware and heartware, the people, the human element, and the

technology.

And the same applies with our emergency preparedness. We've been in schools doing post-crisis consulting with schools from districts where a kid brought an AK 47 to school and fired a couple of rounds in the hallway and committed suicide at classes change; another student brought a tree saw and machete to school and sent eight people to hospital in his first period Spanish class.

And what we have learned from these incidents when we go in afterwards is that many schools had crisis teams on paper, crisis plans that were written and laminated in red binders on a shelf, but those plans had not been updated. Staff had not been trained on them. They had not drilled and exercised.

we talked a lot about lockdown drills, for example, in our schools, along with fire drills and evacuations. What we often find is that while many schools practice lockdown drills, a large number of them don't practice during lunchtime, yet the lunch periods at many schools run for a third of the school day in some cases. They may start at 10:30 in the morning, depending on the size of the school, and run until 12:30, 1:00 o'clock. And people don't practice during that time because it's inconvenient.

So we talked about diversifying our drills, doing them during class change, during them during lunch, at student arrival, near student dismissal.

Having crisis communication plans with the Facebook threats and other rumors that go around through social media today. We're dealing with generation text, as I say. It's not only the kids, but the parents who are on social media, and oftentimes we can have word of a threat, a rumor of a bomb threat or a school shooting, and the rumor becomes greater than the actual threat itself.

So it's very important to have that balanced approach, recognize your continuum of threats, your continuum of responses.

If you look at the slide on focusing on the fundamentals, the nuts and bolts of school security, just as a few examples -- and we spend hours training school officials on some of these practical things that they can do, many of which take more time than they take money.

While we've had the conversations of all
the -- the high-tech gadgets and every product that any
vendor could possibly want to sell a school district
to -- I just had the other -- an email two days ago
from a vendor who wanted to know if I thought

bulletproof chairs would be something that we would want to consider recommending to schools for administrators and secretaries, to bulletproof backpacks, bulletproof white boards.

And you start looking at the practicality of this stuff and how it would be implemented. And, you know, just on the bulletproof backpacks, for example, my question is if you have a bulletproof backpack, do you need a bulletproof frontpack as well?

If you understand schools, you know that most schools -- many schools, actually, principals require the kids to put their backpacks in their lockers, so what good is that going to really do during the day?

So some of the best security and best practices are often invisible and are harder to sell to an emotional school community, because it's easy to point to a camera, another officer, a piece of equipment, and say you're doing something.

We talk to schools about diversifying their drills, engaging their students, training their students not to open their doors to strangers or people that they know, to participate in drills, student-led safety discussions and projects. We talk about a thing we call the five-minute rule, where at every faculty meeting, five minutes at the end of that meeting, there

is a focus on one aspect of school security so that for every faculty meeting, which nine times a year for five minutes, for 45 minutes, you've talked in your school about something on security and emergency planing that you otherwise wouldn't have had a conversation on.

We're encouraging schools to reach out to non-traditional community partners, not only your police, your fire, your EMS, but also work with your emergency management agencies, work with your mental health community, your public health community, your broader community-based support as part of this process, conducting table-top exercises or having safety round tables at administrator meetings where they just share one thing that's working.

Again, these are just a couple of anecdotal examples of things that, you know, you may not be able to legislate. You may not be able to create fancy policies or have a flashy product, but this is the stuff that's meaningful, the nuts and bolts, in the weeds work that's done in schools and can actually make schools safer.

On the next slide, policy and funding, the climate and context, again, if you look back at the federal level, those resources that were put out after Columbine have by and large have been eliminated over

the past five years, the Safe and Drug-Free School

Program was eliminated, the Readiness for Emergency

Management Grant program for School Emergency Planning

was eliminated, Safe Schools/Healthy Students grant for

mental health support was eliminated, Secure our

Schools grant for security equipment was eliminated.

So those grant programs that served to stimulate the local discussions and projects fell to the wayside, just as budgets at the state level resulted in elimination of a lot of programs, and at the local level, with education budgets tightening and tightening, unfortunately, security and prevention is often the first thing to go on the chopping block.

At the same time, we have to recognize that local schools cannot only view school security as a grant-funded luxury. It's something we're telling school boards you have to incorporate into your budgets as a part of your operating expenses, within reason, in recognition that nobody has a blank check.

If we only decide that we're going to have a school resource officer only if there is a grant or we're only going to buy two-way radios if we can get someone to pay for that, then I think we're never going to get anywhere in terms of beefing up safety on the front lines.

We also need to make sure that when we put those resources in, whether that's the federal/state level or at your local school board level, that you don't have skewed policy and fundings in practice. We can't legislate and fund and act by anecdote.

Prior to the Sandy Hook shootings, there was just an enormous national discussion for the last three or four years on bullying. Almost everything focused on school safety focused around bullying. And since Sandy Hook, it's almost as if bullying disappeared in some places around the country, and all summer long and since December of last year, we've seen active shooter training, fortifying the front doors of schools with hardware and equipment. And so we -- that pendulum just swings so easily based on one incident, and that can be dangerous, because it just keeps swinging back and forth.

On the next slide, some things to avoid with policy and funding. We can have high level, but low impact conversations. Certainly as a father -- and I know many of you there are -- would have conversations about violence in the media, the entertainment industry, but I encourage you -- and my focus is very narrow -- while those are issues to be addressed in conversation, where -- I think what educators on the

front line and first responders need is what can you do to help me better secure my school building tomorrow; preferably today, but at least tomorrow.

We need to also avoid the fads and the feel-good things that really don't have practical implication and -- implementation, rather. You know, we can talk metal detectors at every door, but when you get into the weeds of doing that, you'll find very quickly that it's extremely difficult, as well as expensive, but just difficult to implement. It still doesn't give the 100 percent security that people expect, and there are better ways to spend our resources in most school districts.

Again, making sure our policy and funding is comprehensive, and at the same time making sure that we're not overly prescriptive and leave some room for local flexibility.

We do assessments of schools across the country, and in many cases we'll go into one school district and find that they're very strong on the mental health, the counseling, social workers, the preventative programs for kids, and they're weak on the security and emergency planning. And we can go next door to another district and find that it's just flip-flopped. It's a -- that school district is weak

on the prevention and stronger on the physical security, but lacking on the people aspect.

So we need to recognize that although there are some commonalities across the board, we need some local flexibility to identify through assessments and local determinations what will work best and what's needed at that point in time for their schools.

If you look at the next slide, meaningful things to consider, one of the things I'd like to strongly recommend to you that I had the opportunity to participate in the development of back around 1999 in the state of Indiana, at that time Governor O'Bannon and his staff, was the creation of the Indiana School Safety Specialist Academy. And it's a model that I recommend to states across the country to consider.

The state created legislation that created the Indiana Department -- the Indiana School Safety Specialist Academy in the state Department of Education. It requires each school district in the state to have one designated school safety specialist who takes a minimum number of hours of annual training.

They have a basic academy and an advanced through the state academy, and they maintain an ongoing certification, and they take that information back to the local school districts with their crisis and safety

teams.

It's been -- it's been sustained since 1999 in the state budget without a decrease or an increase, without political tampering. It's been neutral. And it's been highly effective, to the point where they've engaged charter schools, private schools, obviously primarily public schools, and first responders and have had multiple school safety specialists attend this training from each school district because of its value.

They do a comprehensive curriculum from bullying to tactical responses to weather and natural disaster issues. And I certainly would point your staff to someone who could give you more information.

But it's practical, it takes information back to the local schools for dissemination, and it's current because it adapts based on the needs, that they get information from their specialists on what topics are hot. It may be athletic and sporting event security, it may be bullying, it could be date rape issues, it could be tactical.

But it's really an excellent program. It's been sustained, it's been apolitical, it's been stable in its funding, and it's been something, just simply put, that works.

We see a temptation -- and many times through great legislation around crisis plans, drills for school districts and exercises, and that's fine, but what often happens is there's not a lot of accountability. State laws will be passed requiring that schools do X, Y, Z number of drills, but it's based on a good-faith effort.

And in the state of Michigan, there was just an investigative report statewide that showed that the state laws were not being implemented in spirit and letter of the law. In fact, they had the state records of lockdown and the fire and evacuation drills that were done on Mother's Day and Sundays and days that schools were closed and -- when they actually did a page by page review of the report.

So if you look at mandating certain types of things, like drills and plans, it's not a bad thing to set up some carrots and some sticks for the schools to do that, but there needs to be some accountability and checking to do that.

One thing the Indiana School Safety

Specialist Academy does is they go out during the course of the year and pick a number of schools and go in not to audit them to be punitive, to nail them and do a gotcha, but to say do you have these basic state

requirements in place and, if not, how can we help you get there.

I encourage you to look, on the security and policing side, at strengthening school resource officer programs. Those officers are properly one of our prevention programs. They prevent more than they arrest.

Physical security measures, you need to be practical. We don't need resources with tons of focus on metal detectors and ballistic white boards, but access control to schools, two-way radio communication, do your PA systems in your schools function, how do you -- where do you need security cameras.

Physical security encompasses a wide range of things, but I think that we need to focus on what do school actually need the most and use and what's practical, and focus our efforts on that.

There are many things that we can and should do with school design if we're in a position in a school district to do major renovations and new construction, crime prevention through environmental design, SEPFIT (phonetic) concepts.

We're seeing a lot of schools reconfigure their main entranceways so that there's the second set of doors that are locked during the school day, and

traffic that comes in, whether they're buzzed in or walk in, are funneled through the main office before they can get to the rest of the building. There are line of site issues and after-school security design concepts that you can apply, and certainly training.

No matter what you do physically and security and equipment wise, it comes down to the people part that's your -- that's your most important thing, and that's who we neglect. Oftentimes we're disappointed to see that some of the most important school staff members are not at the table in crisis teams and are not receiving training: Our secretaries, who greet people who may be angry coming in the door, who are going to take a bomb threat call to your school; your custodian who sees a stranger on campus or suspicious device; your cafeteria workers, your food service staff, who often are not even trained on what to do in a lockdown in their own cafeterias. Those and other support staff, your school bus drivers, need to be an integral part of your planning and training.

In terms of the data, I caution you to -- I encourage you to exercise caution and recognize that data is very limited. Federal -- there is no federal school mandatory crime reporting and tracking, so we really don't know how many crimes occur in schools.

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There are research studies and guesstimates.

Some will tell you violent crime is down in schools 50 to 60 percent, which I find hard to believe, because no school official will tell you that in the last 10 years. So take that data with a grain of salt and recognize the limitations. Encourage local data connection.

On the next slide, from a state level, we encourage ongoing efforts where there is a linkage between your departments of education, your homeland security and emergency management departments, your criminal justice, law enforcement/attorney general type office, and your mental health community as you develop state policies and programs for the long haul.

We need these disciplines and departments to be working together as they develop strategies and programs because of the interrelatedness of the things that they do, not operating in isolation or individually without being coordinated. So we need that policy to be comprehensive and the funding comprehensive.

A couple of final points. I would encourage you that no matter what you do now or in the future and -- to look at the -- on the next slide, for context, I feel there are three basic questions that

are important to ask as you make decisions:

Number one, how does this help a principal better secure his or her building and better prepare for emergencies? Are the things we're talking about going to help them better secure their schools?

Number two, what are the implications for implementation? A lot of times good ideas sound good, but when you think about how they work in schools on a day-to-day basis or would they work, we find that what sounds like a good idea really wouldn't work in practicality.

And number three, is what we're discussing practical, helpful and useful to those on the front lines?

And I think those are some great guiding points. As far as additional resources, I will have a copy of my latest book sent to your staff, a complimentary copy sent to your staff that gets into the needs of school emergency planning and security issues.

And I'll close by sharing with you my favorite quote, which guides my efforts and those of our client schools districts, "That he is most free from danger who, even when safe, is on his guard. He is most free from danger who, even when safe, is on his

quard."

I hope the conversations we're having today and that you're having as a commission are going on five years from now, 10 years from now without another tragedy, and that we keep school safety on the front burner when there's not a high-profile crisis. That's the greatest challenge those of us in my profession have faced, and it's the greatest challenge I think that we face in education. When we least expect it, these things occur.

I thank you for indulging me with the rapid run-through. I hope it provides some context. And I appreciate the invitation and opportunity to be a part of your process here and I'll open up for any questions and conversations you have.

CHAIRMAN JACKSON: Thank you very much,

Mr. Trump. I'll start off with a question. You know,

trying to keep up with 160 school districts and their

conversations here in Connecticut about what they

intend to do to improve their security, there are a

couple of areas that kept coming up. One was metal

detectors, which you've kind of opined on already.

Others were locking doors for every classroom, the

implementation of some sort of bulletproof element, and

armed security.

Now, Connecticut did pass legislation indicating that any armed security in schools must be active or retired certified police officers. I was wondering if you might be able to comment on any of those topics.

MR. TRUMP: Yes, Mr. Chairman. I appreciate the opportunity. Let me take the first part. I think the legislation that was passed was very appropriate. I fully believe and support having school resource officers, police officers, trained, commissioned, certified law enforcement officers in our schools. I do not support arming non-law enforcement professionals, teachers or staff.

We were at a school district in the Midwest where the sheriff, who was well-intended, but not well thought out, proposed arming four people in every school. And he told us that they would only be -- they would be special deputies, but only special deputies to commit deadly force, and they couldn't make arrests.

And I left scratching head on that conversation.

Fortunately, not everyone agreed because their insurance carriers and attorneys I don't believe would support that.

But I think that you're on track making sure that they're trained, certified law enforcement

officers.

We have to understand that it's not just an issue of the training to carry a gun; it's the mindset that goes behind it. Our educators are trained in being a supportive, nurturing, parental, in loco parentis force for our students. A police officer is trained that every time they walk out into a confrontation at a traffic stop or into a dangerous encounter, that could be their last. They have a much more tactical mindset that goes beyond a couple dozen hours of training to use the gun.

I think we need to let educators educate, let trained public safety professionals perform those functions. I think that's on task.

On the metal detector issue, the key is implementation. We could argue this on one side where we say, How can you not have metal detectors, we need to do everything humanly possible to protect children. You can have the other argument where many people will say, I don't want a prison-like environment for my children. Both sides you could give an emotional argument.

My discussion is simply this: What's the implementation? That if you take and put metal detectors in every school, are you willing to -- as

parents, to, you know, delay school for an hour or two to get everyone scanned?

How many doors do you have in your school and are you going to be able to lock those down and staff it so someone can't sneak in the side with a weapon?

Are you going to secure every window with the consent of the fire marshal so no one can walk in clean and have a gun passed to them through the window?

Are you going to run it 24/7, where it's staffed, with everybody and everyone from grandma and grandpa to mom and dad are scanned when they come to basketball games and plays and other school events and school practices, or are you going to put them in during the day and I can walk in at 6:00 o'clock at night when basketball practice and the play practice and some clubs are meeting and night school is going on and put something in a locker and you can come in the next morning through the metal detectors clean?

So when we start talking about that implementation, the cost involved as well as the practical implementation, it has enormous cost in time and money and resources that you could spend elsewhere.

As far as the -- you know, the bulletproof glass and the issues and protective film, I had some people tell us in districts in the last few months

they've tested that, they weren't nearly as impressed with the end results. I'm sure there are products out there that could do it. The questions is, is that where you want to spend your limited resources, and how much glass would you need to replace in your schools across the state?

It would be an enormous cost, and it begs us to ask would it be better to spend those limited resources on a school resource officer and another psychologist and counselor to help prevent the incident in the first place and to have preventative presence.

And I think the other issues with the classroom doors that -- you know, that's a -- I don't like to end everything based on cost, but there's a huge to cost to those in terms of capital improvements in locking classroom doors. It's a concern to teachers. Do I have to step out in my hallway or in the hallway to be able to lock the door and pull it closed?

We have seen some improvised, creative efforts where schools in the last few months have put magnets over the door latch mechanism, put the door in a locked position so that the door can be opened and closed if students are coming and going to the restroom, but if they go into a lockdown, the magnet

can be pulled and the door can just be pulled closed.

It's not a perfect scenario, but it fits within the budgets and addresses some of the concerns.

So we have to prioritize these things, and I think the -- a lot of those calls are understandable, the emotional impact of Sandy Hook, but it's prioritizing your resources, and my preference is to prioritize them in other areas.

CHAIRMAN JACKSON: Thank you. Other questions?

Mr. Ducibella.

MR. DUCIBELLA: Hi, Ken. How are you? Thanks for being here today.

MR. TRUMP: Good morning. Thank you.

MR. DUCIBELLA: I liked your responses on those questions. I didn't want to be pejorative and say, I would have said the same thing, but very common sense.

You and I both know that threats evolve over time, and for a whole series of reasons. People who perpetrate these crimes see what's going on in the industry to prevent them, and so they adapt. The notion of having a specialist from each school attend this academy, which is just such a brilliant and simple idea, can you talk to us a little bit about the

implementation of that program in a little more detail?

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You said a specialist from each school district. Is this a school administrator, is it a selected teacher, is it a new person that's selected and put on the school payroll? Can you give us a little background on who ended up being the specialist, A; and, B, tell us a little bit about whomever that specialist is, when they attend this academy, what were the sort of resource credentials of the people at the academy? Were these people out of law enforcement, How were they updating their threat EMS, DHS? management profiles so that then the people who attend the academy are receiving the benefit of updated intelligence and/or prevention? Could you talk about that just a little more?

MR. TRUMP: Sure. I would be happy to.

MR. DUCIBELLA: Thank you.

MR. TRUMP: And I can also point you directly to the academy coordinator and director and get some additional information and details to the entire commission.

Let me start with your first question. The first question and an underlying theme is who are these people that the district is sending. And the answer is that is it varies tremendously because -- largely

because of the size of the school districts and who they have available.

If you have a school district in the state of Indiana, for example, in Fort Wayne, the security director -- they have a security director, and like Indianapolis Public a school police chief -- they have designated as its staff their school district directors and staff. They would be specialists.

And it became such a popular, well-received program, many of them would build a deeper bench, if you will, within their district and send multiple people, multiple specialists.

Other districts, with their size, they may have five school, districts that may only have a couple of schools, some may have a dozen schools, don't have that structure, but would have perhaps a school resource officer, city or county law enforcement officer in most cases. Oftentimes they would be the person.

And in many cases, particularly with the smaller districts, the people would also be educators. We've had superintendents, assistant superintendents, directors of facilities.

So I think you kind of get at -- what I think you may be focusing on is there is a diverse, very

diverse group. There's not a state requirement that says it must be the superintendent or it must be a police officer. And that comes with pluses and minuses.

From a strict, straight security perspective, many people would say, well, they need to have, you know, the intense credentials. The operational reality and financial reality is that most school districts don't have that level of person in their district.

They're not going to have it. And it's all -- so they get someone from the education side of the house.

I think the -- while that could be seen as a negative, there is also a positive because you have to have the educational buy-in. We've seen superintendents go into that position, and ultimately it's the superintendent and the school board that's going make this an issue of priority, both in policy and funding. And if you get their buy-in, if they get a higher skill of knowledge and level of functioning on these issues, in the long haul, it provides a better scenario for policy and changes in school districts.

So it's certainly not perfect across the board. And you have the issue of turnover in school districts. I think the good thing is many school districts would have more than one -- send more than

one specialist. And they were able to do this, amazingly, at no additional cost and expansion of this program at the state level, so that you can build a deeper bench, if you will, within the district.

And then I think the key thing is what do
they do with that information when they get back to the
district. One thing that the state encouraged as a
part of this was county-level school safety
commissions. It didn't mandate it, but it allowed for
the creation of a countywide school safety commission,
and that is where representatives from each school
district got together on a regular basis and talked
about regional coordination of efforts from a tactical,
from a preventative end.

I know in Allentown and Fort Wayne, for example, they made a consistent countywide practice on numbering their doors and getting a computerized system and mapping for first responders.

So it varies. I don't think that you're going to have the perfect scenario where everyone comes in the door with the background of being a security expert. I think it does build a deeper level of competence and a deeper bench and deeper educational administration support based on the way they're operated.

And beyond that, I'd be glad to get someone who could give you more details and actually would suggest in September they're going to have basic academy, that perhaps someone from your state would attend to observe. They have people from multiple states attend on a regular basis to review the program.

MR. DUCIBELLA: Thank you. If that information can be forwarded to the commission, it would be much appreciated.

MR. TRUMP: I'll take care of it this afternoon.

MR. DUCIBELLA: Thank you so much.

DR. FORRESTER: Thank you, Mr. Trump, for your testimony. It's very important to underline the pragmatics that you are presenting around what is realistic. And I have two questions.

One is you mentioned earlier in your presentation around the political nature or how things change, you know, with different events. And I know the closing of the Office of Safe School and Safety and Drugfree had some implications to us here in Newtown, because at the point of crisis, that office would have been, I think, previously called in with their rescue -- with their team and had been able to lead some of the recovery efforts early on for the town

of -- you know, for the Board of Ed in Newtown, and because they weren't available, then, you know, it sort of left a hole for a lot of other kind of responses.

And I was wondering if you had thoughts about that or are there any recommendations that might be made to sort of help in that point?

MR. TRUMP: I have had the opportunity to testify to Congress three times in more recent years, a total of four. I testified at the U.S. Civil Current Rights Commission on the role of the federal government on bullying issues, and one of the consistent themes I've had in that testimony has been the same -- similar themes of what you heard here today, that we need to have a comprehensive approach, we need to make sure we don't have roller-coaster public awareness, public policy and public funding.

And despite my efforts and those of about eight -- eight others on a panel, seven or eight others on one panel, unfortunately, the cuts to that program went through. I think there were a lot of larger forces at play inside the beltway on that, just overall budget issues, fiscal policy.

I'm not sure, quite honestly, ma'am, that they had anything to do with that office or what it did. I think it got caught up in a sweep of budget

cuts and politics, or as I call it, politricks. The second chapter in my book is called, "The politricks of school safety."

And, unfortunately, we suffered in the front lines at the school. Now, we need those such as yourself at the front lines. I think it is imperative -- you know, I can go and testify as a professional and get a nod and an understanding, but I think it's imperative that our local school boards, our state school board superintendents association, and our elected officials push a strong, strong message to your counterparts and colleagues at the state and national levels to say this is an urgent issue to us.

When I was on Capitol Hill, I talked to a number of staffers who told me that my conversation with them had been the first time anyone had said something. They hadn't heard this from the education associations, because at that time and still today everything is focused on academics, test scores, national standards, and at that time No Child Left Behind, and it just wasn't -- there were so many competing interests.

We need to have a stronger voice where that's communicated about the priority, and I think that that's critical, and it has to be done on an ongoing

basis. If superintendents and the school boards, the education association, and other elected officials don't stand up to their state association and their local districts and say, Look, this is a priority, don't go there, the little guy like me is not -- is one voice, and I think it has severe consequences. I agree with you.

DR. FORRESTER: Thank you. I just had -- a second part of the question was we had testimony from Dr. Randazzo on the Secret Service and the threat assessment teams and, you know, I notice on the Indiana site that threat assessment, all that information is up there. Is that part of the training, on how cities or school districts can create these threat assessment teams and where have you seen it work successfully within a state model?

MR. TRUMP: I think the academy -- the good thing about the academy is that they have -- without getting too far in the weeds here, I was on the original curriculum development committee back in '99 once it was passed in the fall, and the effort at that point in time, which has not dramatically changed, and the overall concept was they have multiple curriculum strands represented in every academy session.

For example, in September I'll be speaking at

the -- at this year's academy, one of this year's academy sessions, and while I'll be speaking of security and emergency planning, some of the things we have talked about today in a post Sandy Hook environment, two of the other speakers, keynoters, are actually talking about prevention programs, and someone I believe is going to have threat assessment.

The key to the academy is not just having those representatives, but the varying curriculum and the adaptability of that curriculum. They're not teaching the same things today that they were in 1999 unless those things are important, threat assessment being one of them.

So you could go -- as a specialist, go into a two-day academy session and have a training -- and have sessions, the classes that range from tactical responses and active shooters in one room, to bullying, threat assessment, mental health services, community-based program, working with your community, your clergy or your local community-based organizations.

It just adapts so much over time and it's so diverse, it helps meet those individual school needs where a specialist comes in and says, All right, I feel we're comfortable in our hardware, but I really need to

go to that session on threat assessment because we don't have a model in place.

So I think, you know, things -- the reality is things do change over time. We've been in school districts doing assessments where they would have very solid threat assessment policies, security measures, preparedness measures, and you could go back five to 10 years later in the same school district, and because of the change in leadership and personnel and emphasis at the time, a lot of those things fell apart, which is why you need to have some sustained, ongoing effort.

And, again, I just use the academy as an example that will deal with the turnover in people and message and still keep consistent message going along the way. Threat assessment is a huge part of it.

The other side of that is you have -- you have your school resource officer is going to have a piece of information, your psychologist will have a piece, your counselor, your principal, your teachers, and that's why those teams are important having some diverse perspective.

At the same time we have to realize that we are extremely short in those schools with counseling, psychologists and mental health support, and on the one hand that's not a good thing, obviously, because we

need those specialists; on the other side, that's even more of the reason we need to have threat assessment teams to fill the gaps that exist if we don't have them.

DR. BENTMAN: Thank you very much, Mr. Trump, especially for your common sense and your emphasis on interpersonal relationships as important in this.

You mentioned that there's no federal databank around troubles in school, but it strikes me that the Indiana School Safety Specialist Academy offered a wonderful opportunity to measure efficacy. It's been ongoing for about 10 years. You'd have to pick frequent events as opposed to rare events to measure. But I was wondering whether either they themselves or any of Indiana's medical schools or schools of public health have -- just have measured the benefits of this.

MR. TRUMP: I'll defer to -- I'll defer to them for specifics. I'll make a note of that when I reach out to their contact person this afternoon.

I do believe over a period of time that they have had some academic evaluations done of the academy. I don't know of anything currently other than their internal feedback reviews by their safety specialists. I think one of the anecdotal aspects, in addition to

what's been in done in terms of formal research and measurement is last time I was there I believe was back in the fall of last year presenting, and they had standing room only.

And it was interesting to me that a program started in 1999 -- and as most of us know, a lot of times these programs whither over times -- was actually busting at the seam in the room with people trying to get in, which told me that, in today's world, where principals and superintendents and school resource officers struggle with their time away from their district during a school year to go, that you have people literally in standing room told me that the academy is still strong.

That's obviously anecdotal, not academic, but I do think there have been a couple of research programs. I'll defer to them.

DR. BENTMAN: Thank you. What I'm actually even more interested in is -- are the outcomes, which is to say at the school level what has been the reduction of events over time or what is the -- what's the feedback of less of the school's work and more of its application at the ground level.

MR. TRUMP: Again -- again, if there's specific research evaluated, I'm not -- I was involved

in the front end much deeper as a presenter and trainer ongoing over the years. I'm not sure what they had on that.

I can tell you that from working with the school districts separate from the academy, I know that they feel that it's an invaluable -- that the information they received has helped them better manage situations, better prepare for situations.

I know someone told me just recently that,
for example, that one of the tidbits that they received
in training was about the diversifying the school
lockdown drills. They had taken that back and
practiced it, and then actually had a situation with a
serious incident, and the principal's comment was had
they not had that training and done that, they would
have had some serious losses.

But I think, again, I know a lot of anecdotal incidents. I'm not sure in terms of real formal measurement what's there, but I'll refer you to them.

I do believe a number of years back they had at least a couple of academic studies, but I don't know how deep it got into the level of measurement that you're requesting.

DR. SCHONFELD: This is David Schonfeld. I don't know if you can see me because I'm in the corner.

That's why I thought I would identify myself.

I have first a comment and then a request for more information you can gather when you contact the folks in this institute.

I've seen situations where live drills have been done involving students and have been very well intentioned, actually have been somewhat traumatic to the students, often because they're done in a well-intentioned way, but without sufficient information.

So, for example, there have been situations where they've involved the whole town, including the police force, in an assembly where you've notified the student body that a student was -- died in a car accident and then gone through a memorial service for him, and then had the student come into the room because it was all staged. So mock funerals without notifying the children, telling children that the administrator has a gun and is trying to get into the classroom, and a number of things which are really just very disturbing.

And so this is not at all to say that we shouldn't do live drills. I support them. I've worked with communities in Connecticut to do live drills. But what I would like to know is what component of the

training and the guidance material that comes along with that speaks to how to do this in a way that's emotionally healthy to children and doesn't actually cause then unnecessary distress.

MR. TRUMP: I think it's a great question.

There are a couple of moving parts on this. First of all, let's look at the continuum, again, a common phrase you'll hear from me, of different types of activities.

If you look on one end, it's -- far too many schools have a plan and it's sitting on a shelf collecting dust, it hasn't been updated, tested and exercised. On the other hand, as I alluded to earlier, I do not -- while I'm a strong advocate for getting those plans active and keep moving on these things, not just having paper, I also don't believe you need to have a mock hostage situation and a SWAT team enter locked doors. We have to have a balance somewhere in between.

So let's look at one end of having plans and they're sitting on the shelf. Your next step on that continuum would be training, making sure that the plans come off the shelf and that your staff are trained in cooperation with your first responders and community partner organizations, which should include your

in-house counselors and school psychologists as well as outside mental health agencies.

And one of the things in particular -- let's go down the continuum. Practicing lockdowns, evacuation drills are reasonable. Diversifying those drills, along with fire drills. We say, you know, in fire drills, block an exit. Work with your fire marshal and your fire department. Block an exit unannounced to all your staff. Many of your teachers have gone out the same door for 27 years of their career for a fire drill, often at the same time of day all of those years. We want to teach them to think on their feet.

Block an exit; take a kid out of line to see who notices; take a teacher out of the drill to see who steps up. Block a -- make sure on lockdown that you're doing one -- start off with a traditional one when kids are in class, but do one during a lunch period, do one during a class change, one at student arrival, one at dismissal.

Let me just say I'm giving multiple examples.

I don't believe that we -- I think -- we recommend two
to three lockdown drills, for example, a year, one a
semester, which would give you an average of two, three
if you can, and you build upon this over time.

So while I'm throwing everything out, let me just be clear I'm not suggesting you do all of this and you're able to do all of this in one school year. Just don't do the same thing.

Then on the next end of your continuum and farther, where you're talking about the full-scale drills, those can be very educational. They are time and labor intensive in planning when they're properly done.

Well, let me -- I skipped one. You know, you have your lockdowns. You should also be doing table-top exercises. And I think this is the most valuable thing I've seen schools do, in terms of their time limitations, where you sit down with your crisis teams in the building and district level, your community partners, again, your mental health, your elected officials, parent representatives, your communications personnel, your district and building crisis team, and take a half a day and go through a hypothetical scenario that's well structured and work through in groups what you would do as those facts evolve.

And then the next step down the road, generally speaking, you can look at a full-scale drill.

So what I want you to envision in this answer

is there's a plan, there's training, there's doing -there's things such as lockdowns and evacuations,
there's table-tops, and then the drills.

Drills are time and labor intensive. I think you have to -- I support doing them, but they have to be well planned and well thought out. We've had a couple of incidents this -- year, there was one out in Oregon where, unannounced to some teachers -- in this case it was a charter school -- they had a faculty meeting and someone had two staff members, I believe the custodians come with masks and fire blanks unannounced to the teachers. I don't support that. What if one of the teachers had a heart condition? What if somebody in the room, unknown to everybody there, had been carrying concealed and fired back the real thing?

Another issue that I have concern with is -something that's really been catching like wildfire
after the -- after Sandy Hook was the program of
teaching students to throw things at and attack armed
intruders. And I think that this may sound good to
those who support it, who often come from a non pre-K
to 12 environment who don't understand age and
developmental issues, who don't understand you may have
classrooms of autistic children in a school, who don't

realize that you may have special education students with behavior and emotional needs who are -- who are mainstreamed into classrooms.

When we're talking about run, hide and fight, and teaching people to fight and teaching kids to -teaching adults to do things in a workplace environment or a college and university at an adult level, we're really dealing with an environment with kids with age and developmental special needs and other considerations that I think are well intended, but are not well thought out.

I had a deputy in Wisconsin tell me a couple of weeks ago that, unknown to him, some teachers in the school district they serve went through this training. Their team, their law enforcement team, went into an exercise with the school officials to do a rapid deployment for a hypothetical active shooter, and a teacher came out of a secure classroom with a hammer to go after the man with the gun, who quickly put her on the floor, and said that had it been the real thing, she would have been dead. But this is from a couple hour workshop or a training class they went to.

In the same district, they said the elementary kids had to be told to bring a can of soup and put it their desk drawers to throw at the armed

intruders.

Now, I'm a father of two young children, and I know from 25 years plus of experience in this field as well as being a dad, you can't get a group of 12-year-olds to simultaneously decide whether they're going to eat their chicken nuggets for lunch, much less to simultaneously attack a gunman.

What if someone pulls out a gun, as they did in Cincinnati a couple of weeks ago in a classroom, and he commits suicide, and the kids start to throw things at him, and it becomes from suicide to homicide? What if the person with the weapon that you're throwing an iPod at or a book bag at and you're going and teaching your children to attack them is strapped with explosives and he blows everyone up with him when he gets tackled?

There's a whole lot of issues, I think, in those type of drills and exercises and training that concern many of us who have been around the block for a while, and making sure that when you're doing the other full-scale drills that you're talking about, that they're well planned and well thought out.

I'm working with a district in Florida now that's doing that, and my primary role is to facilitate an afternoon panel and debriefing, but I talked

extensively on the front end for their planning about simple things, making sure that your student participants who are from the drama club have been talked to, have been -- school counselors are involved in picking those people, the parents are aware, and that they're volunteers; making sure that there's a great deal of communications with the parents and the community, residents around the school.

They were talking about putting up LED signs that they were going to have a drill. I told them they should do reverse 911 and do a media announcement the day before in case there's an 85-year-old gentleman down the street who doesn't go out and see the LED signs.

So I think your point is well-taken. I think the short answer is they have to be well -- they're well intended, but they need to be well planned. I don't think we throw them out, but I think that we have to have age and developmental appropriate considerations, special needs, exceptionally good planning and communication, good debriefings for lessons learned, and avoid these knee-jerk reactions that comes post Sandy Hook of this teaching everybody to run out of the building, when that may not be the safest answer, and to start throwing IPods at somebody

with an automatic -- semi-automatic weapon.

CHAIRMAN JACKSON: Mr. Trump, we thank you for your time; we thank you for your testimony. We look forward to hearing some additional information from your colleagues in Indiana. And, again, thank you, sir, for your testimony.

MR. TRUMP: Thank you, Mr. Chair, members, and I appreciate the opportunity. I'd be happy to answer any follow-up questions you have by email or otherwise. Thank you very much.

CHAIRMAN JACKSON: Thank you. All right.

That concludes our testimony for today. We're going to have a short day today.

I just want to go into some general discussion. I have a few things to start with. One is I'm sure you've all been following the media in terms of the state police report. If you have not, it appears that the state police report on the events will not be prepared until September, probably, at the earliest, so we're talking at least an additional seven weeks.

I believe that there will likely be information in there that is useful for us in terms of crafting a final report that ties back to Sandy Hook Elementary and may inform additional panels in the

future. I just wanted to update you on the timelines.

report by the end of the year. That's not a lot of time, from September to the end of the year, so working with our colleagues at MDM & C, what I'd like to do is start -- and Professor Schmeiser -- start trying to outline what a report might look like. So we'll share that outline so that once we get all of our testimony and all of our information in front of us, we can then transform it into a working document fairly quickly.

I came in with my dog-eared copy of the Columbine report, a fascinating report. I know that most of you have read it at least in part. The 2000 mental health report that Dr. Schwartz was a part of preparing, another fascinating document. We've heard today about a number of other task forces that have been commissioned. We've got to do something a little bit different to make sure that there is a permanence.

Mr. Trump just talked about changing dynamics. This -- there are a lot of reports and a lot of items of value. And after I did my first cut of a potential outline for our report, I then pulled out the Columbine report and saw shocking similarities. The reports are written. They're available; they're everywhere. We need to add a level of permanence that

perhaps did not exist in other reports. So that's just something I thought I would throw out there.

Dr. Schonfeld mentioned earlier the sort of definition of case management and coordination. I think in large measure that may be the most significant thing that this commission may be able to prepare. For someone coming in from outside of the arena of mental health, it's been a shocking learning curve to hear the difficulties for any individual either saying to themselves, I have an issue that needs to be addressed, or someone else saying to another person, Hey, I think -- I think some treatment services may benefit you.

The road is so long and so torturous and so difficult to gain access to that understanding or thinking about how we may be able to make the system more effective in transitions and in providing access is certainly something that's going to be critical.

Now, it may not be us. It may be a different task force whose skill sets we may want to help identify. But that case management and coordination is something that seems to be just so sorely lacking in the contemporary arena that it's really something of real significance.

I'll continue to work with MDM & C on some of

the clarification items on the legislation, and we'll report back to you what those gaps are. And as always, all information is available at www.ct.gov/SHAC.

so with that I'll open the -- actually, one more -- one more item. We've talked about school security, we've talked about the delivery of mental health, we've talked about firearms and ammunition.

Another thing that came up today was the legislation pertaining to changes to FOI. And I wanted to bring that up at this stage because one of the areas that we haven't really discussed is the effect of media culture. So that's something that a lot of people have pointed to as a potential cause of increased violence in contemporary American society.

So I think that we do have an obligation to take a look at it and decide whether or not we feel that there is -- there is value to incorporating information on media culture, on the effects of seeing violent activities and behaviors, be it real, be it say these photos from this -- this from tragic location, or scripted media violence.

So that's something that I am working on in terms of putting together a panel that can discuss both sides of that.

So those are the five things I wanted to

discuss and -- or lay on the table. And I'll now open it up for any other thoughts or discussions from anyone else on the panel.

Mr. Ducibella.

MR. DUCIBELLA: I have one point of interest. And after having read the Secret Service report on Columbine and after having read the Virginia Tech report, I think that in large part one of the benefits of this commission, the way it's been assembled, is the diversity of talent of people that sit on the commission. There has been a very broadbrush approach, a broadband width approach. And there are members of the commission who are out of the law enforcement community, emergency responder community, mental health community, educators both at the level of teaching and administration, architects and engineers.

I don't find that fidelity -- without being pejorative, I don't find that fidelity of intellectual resource being applied to or having been the basis for these other reports that have been very specifically focused on a particular event at a particular institution.

I think if we produce a report, there are so many of them out there, and since the advocacy in the report is from a number of mitigating strategies,

whether it be out of the healthcare community, the emergency response community or the design community, if we're going to produce a document down the road that is going to engender all of those different entities from being eventual players and participants as part of the team approach to reducing school security, that audience of people needs to know that there was a similar audience of individuals writing the document.

So I think one of the things I'm advocating for as we look forward to creating a report format is, with everyone's consensus, of making sure that this very unusual commission of people, with a very broad range of diverse interests and intellectual categories, is made clear.

I think, secondly, while we -- and we've heard this multiple times -- we have a responsibility to the families of Sandy Hook and the people of Connecticut to address school security, and it was an active shooter event, but we've heard from so many different people in so many different arenas that looking at a broader range of threats and a broader range of considerations, whether it be gun control or management of mental health or management of school design, that all of these are part of a layout approach.

I don't think -- and someone else can please correct me -- I don't think that having read the 400 pages of other report documents that I've read so far that there's been this comprehensive, broadband approach that looks at a number of issues in detail that is then commented on by a broad range of people,

all of whom are experts in their field.

And I think we ought to think about writing something that engenders that sense of confidence in a team approach later on down the road by people who are going to respond to this document, whether they're folks like the chief, who end up having to go in uniform, whether they're individuals out of our medical professional community who treat people or are asked to consider treating people, people like myself who are responsible for designing safe schools. All of those people are being part of the solution template that we're going to propose, and so in a very general way what I'm saying is I feel the responsibility to broaden this document so it has national appeal, as you've been talking about.

And to do that and to be true to the cause of having a broad range of audience responders to create what we call a defense in depth strategy in my line of work, which has the basis in either evidence-based

practice and opportunity to succeed, I'd like to say three things.

One, please give consideration to making it clear that the commission met with -- in response to a very particular event, but with a very broad solution strategy; that individuals from a broad range of disciplines were brought in in order to bring a broad approach, broadband approach; and that the recommendations that we make, although in some cases, as you say, there may be deferred recommendations to another group, to another group of people, that we come up with the series of strategies that have the highest opportunity for success by virtue of targeting a broader range of solutions that are addressed in team format.

I mean, I read the Columbine report again and again, and it was very, very much focused on how emergency responders needed to recraft their way of going to a particular event as a result of previously developed strategies between local law enforcement and very specific SWAT teams. And while I think there's been a huge lesson learned from that for a very specific and focused group of people, I think if we're going to do something to add to that, we have an opportunity to do something broader and more

meaningful.

That's just a general response to us all looking forward to, over the next three months, putting together a mental picture of what we want this report to look like. Thank you.

DR. SCHONFELD: I think if a large focus of what we're going to do is to be working on a report -- so I do want to echo what -- what was just said -- is that we need to think through a strategy of how we'll write the report so that we can draw on all the range of expertise within this group and the diversity of perspectives that we bring.

So as an example, when Mr. Trump pointed out that there were recommendations made that children should bring a soup can and throw it at an active shooter in the classroom, he accurately pointed out that that was not going to work because they wouldn't be able to do it, they wouldn't be able to take out the shooter. I agree with that. But actually what sat with me more was the image of all the children in the class with their hand on a soup can waiting to protect themselves from an active shooter and thinking about what possibly could they learn in class that day.

Now, that's not -- so Mr. Trump answered the question very appropriately, and so I'm not -- it is

not a criticism at all. But there's going to be a diversity of perspective, and I'm concerned that the first report that we wrote was under such a timeframe that we weren't able to really project that diversity and complexity and nuance in it.

And that if that is -- if it is our intention to focus on the preparation of a report, as opposed to, for example, focusing on legislative agenda or looking at implications for policy within the state, but if it is a report that we want to do as a major contribution, then I think we really should be starting to write it now, unless we are -- unless we're planning on meeting for three more years and we're going to get to it in year two and three.

But -- so it's just -- I'd like -- it would be helpful for me to know what our deliverable is and then start thinking about how to get down that path so that we can complete it.

CHAIRMAN JACKSON: Our deliverable is a report. Page one of my six or seven page potential draft outline -- and so as opposed to starting from nothing, I thought it was more effective to start to put together some sort of a framework and agree on the framework and then begin to do the writing.

Specifically to your point about diversity of

perspective, I don't foresee the need to do, say, a minority report. I think that the -- I think the diversity of opinions can be incorporated within the text and then the consensus of the group will ring free at the end of the discussion.

DR. SCHONFELD: Let me just clarify. I didn't mean at all that there -- that there should be a minority view. I think what happened last time was that the report was circulated so quickly that if some of us had issues that we wanted brought up, there wasn't an opportunity to discuss them and reach the consensus.

Would -- I don't know how easily, but I think fairly easily would reach consensus. So it's not an issue of conflict within the group in the diversity. It is just more the complexity of how the message would be delivered requires more time to write, and so we may want to be thinking about should we be breaking into writing groups, are we going to start with earlier drafts, is there a different group that is going to take the lead in the writing of this or is it going to be the members?

I'm trying to learn more about the process and trying to discuss what the process would be so that

we can get to the outcome you desire, which is I think what we can all agree on, but I don't -- I think we might want to start thinking through the process fairly soon.

CHAIRMAN JACKSON: Absolutely agree.

Dr. Forrester.

DR. FORRESTER: Bob, I just want to echo what you said. I am moved in some ways -- and I don't want to skip over what you were also talking about around the process, but I think your point is so poignantly correct around -- you called it the broadband. It's a term I learned on this commission as "the all hazards." I never knew what that meant before. Now I do, unfortunately.

But, you know, Mayor, you talked about care coordination or case management as being something that strikes you as key.

In the children's system, and in particular in Connecticut in the systems of care, we use a model of care coordination/collaboration called wraparound coordination of care, coordination which is really based on family-driven, natural supports, family strength approach. It's really around who needs to be at the table to help this family succeed.

And currently the model is for kids who are

high risk of out-of-home placement. And, you know, we have over -- almost, I think, 20 care coordinators at my clinic, and they spend every day in the community, not in office, trying to connect to families and what their needs are, and bring the key people together to talk about, you know, how they can help, and from faith-based people to, you know, the neighbor or auntie or whoever to help this child stabilize.

And that image of the multi-disciplinary, wraparound, community-based support was echoed in I would say almost all of the testimony that we heard on any of the things, you know, from the architectural conversations the first day to the peer counsel -- the early youth -- young adult work to the fear of stigma and, you know, the mental -- chronic mental ill, the peer-to-peer counselors.

I think that that image is so poignantly important for, you know, my learning on the commission. And I want to echo that. And I feel, you know, your point of taking the time to write it or put it together is really critical, because I think you're right with Columbine, the -- you know, people entered the activity differently, and that saved lives in Newtown, right, the 3½ -- when the police showed up, Lanza killed himself. That changed the way lives were in Newtown.

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Can we make the same impact and the same messaging from what your point is is on the multidisciplinary. So I just really wanted to echo it and say that it's poignantly important and can be referred to in a lot of the testimony that we heard over the months.

DR. SCHWARTZ: Just a couple of comments. I also would hope that we would be able to issue a report of real national consequence and one that was built around our multidisciplinary, you know, set of skills.

So it occurs to me in that regard that we have spent some time, not just today, reviewing the statutes in Connecticut, but previously as things evolved. But the response in Connecticut is accompanied by what's come to be called the national dialogue on mental health, the response around mental health issues, anyway.

And I feel that -- I just want to be sure that we take that perspective, that we review not just what's happening or has happened in Connecticut, but that we look at the entire national dialogue on mental health, how it has evolved, where it might be going, where we think that it might be going.

So, for instance, in the Connecticut statutes that have passed, there is some focus on early

intervention. And the mental health first aid would be an example.

In the national dialogue and President

Obama's comments at the National Conference on Mental

Health, which I had the good fortune to attend, there's
an even stronger focus on a variety of early detection
and early intervention processes.

Throughout this -- I have a concern about the unintended consequences of the focus on early detection that I don't think's really being discussed in this national dialogue, and I'd like us to think about it, and that is that it's all very well and good to detect early, but there's got to be the follow-through. If there isn't the follow-through, then early detection and possible diagnosing, truly in the worse sense of the word, becomes early labeling. There's a fair body of research to rely on that suggests what the consequences of that can be.

Just have a teacher write in a kid's chart that Johnny is ambitious and eager and a self-learner, randomly applying that description, and randomly applying a description to Mary that she is slow and not an independent worker and requires greater assistance, and track the outcome of those comments in the kids' performance over years, and you find that those

descriptives become prophesies. It's called the Rosenberg effect, and the result of real studies about this sort of thing.

So I'm just raising this as an example of, you know, the national dialogue is early detection, early intervention, but I don't see an equivalent focus on the follow-through. And even in Connecticut we see that the follow-through is all "if funded." So we'll have mental health first aid, we'll fund mental health first aid, we'll put a name to whatever it is that we're detecting, but then what are we going to do for them over the next four years such that, on balance, that early detection was positive rather than potentially harmful? One issue.

I think it's -- I agree we ought to get started, you know, as soon as possible in writing something. I'll just share hearing that we don't get the state police report until September, but that you also believe that there will be information in the state police report that will be of interest to our pursuits here, gives me some concern about the possibility of actually completing a project by the end of the year, a worthy goal to get in and get out of a project, you know, in a reasonable fashion and as soon as we can, but I myself, regardless of the amount of

time commitment we have to make -- that we've got to make if it takes longer than that, I know I'd certainly be willing to give longer than that and would prefer that we did if that's what it took, you know, to get there.

Just the last comment is a little bit of a detail. I think I've -- I've mentioned it before, but I want to continue to mention it because we've got to be building our agenda. We've heard a lot about mental health, but we have had not had any formal discussion about autism spectrum disorders and other disorders that would not technically fall within mental health, but that have to do with cognitive development. And I hope that we're not going to avoid that subject.

MR. DUCIBELLA: Just two other kind of procedural points. We had a little sort of informal chat among some of ourselves before the meeting started today. We have some partners in law who have offered their assistance, and after having read some of their summaries, it's clear part of the efficacy that we have is to get what we write into legislation. Great ideas are great ideas, but without a quality assurance program, which unfortunately we call legislative empowerment, things don't tend to happen.

Whatever we write, I think it would be

helpful, at least for those of us or whomever is going to write this, to get some insights into how we can write the documents in a way that's just not understandable to a broad audience, but so that legislators can somehow then take that and craft it into meaningful legislation.

I'm always impressed about, in my profession, word-speak, that, you know, you get a bunch of architects and engineers together and no one else can understand them. And that's wonderful, but not helpful.

So the idea of how we create a document that can become a legislative empowerment through writing things in a way that those who craft legislation can look at this and say, okay, there's 68 pages of backup, but here is an executive summary up front that makes major points.

I don't know whether that's the right way to do it, but, one, I have a concern about however we put this together, if we have an intent to have it become in some way legislatively enforceable, it would be helpful to understand how we can make that happen better, A; and, B, I'm concerned that a lot of people see a lot of reports, and I don't reading an article in Scientific American that's 20 pages long and tells how

concrete sets up and I'm in the second paragraph and I'm lost. I mean, I think I know something about this and I find out I don't.

So as part of the structure of any report, if it's long and it needs to be long for reasons that are understandable -- we have a lot of subject matter to cover -- I think there's an interest in making things evidence-based so much of what's produced is factual to -- or at least justifiable with reason, it would seem that having some kind of a very, very concise summary opportunity at the front of this document, where this commission has met, these are the people that are on it, here are a series of issues we've addressed, and here are the major points that the commission would like to make.

I can't advocate for that other than from my professional experience, but getting someone's attention and making sure that those who eventually read it and those who want to use it don't get lost and, in effect, become disempowered at the end of the first or second page because they're at the beginning of the treatise for which they have no further interest in reading because they don't think they'd understand it, I think that's something we'd like to avoid. And if the committee could give some thought to that as

well, I'd appreciate it.

CHAIRMAN JACKSON: Absolutely.

Attorney Flaherty.

MS. FLAHERTY: I just want to echo that. And I think -- I'm hoping that some of the things that this commission, on the questions that we had about the existing legislation, especially concerns that we have about legislation that's already passed and if we think things need to be improved and/or changed, that those are recommendations that we include in the report.

And not really just funding issues, but
things that might be fundamentally an issue and that -things that we have difficulties with, because I think
we already noticed, you know, the things that Attorney
Klau and Attorney Pepe talked about, where the
legislature themselves recognized problems with the
legislation that they passed, that perhaps that the
commission decides by consensus that there are things
that perhaps should not have been included in that
legislation.

MR. McCARTHY: I think that the report is very important to add to the national dialogue on the various subjects that we've heard testimony on. I think that we have an obligation to the Newtown community and the state of Connecticut to make sure

that the dialogue continues beyond the issuance of the report, and I would like to see that there's some ongoing recommendation or with it we include a recommendation for ongoing dialogue, and whether it's we or another group, revisit this annually for a period of time to make sure that there's continuing progress.

These are issues that evolve and cannot -for a thousand reasons cannot be acted on immediately.
The discussion needs to evolve, the solutions need to
evolve, but we -- we all need to have our hands held to
the fire to make sure that we're making continuing
progress. And we need to reevaluate, as Kathy said,
the legislation that is out there. Is it meeting our
intended goal when that interim report was made and do
further adjustments need to be made?

And I think that we have collected quite a bit of experience in this process and understand it a little bit and may be well-suited to meet on an annual basis to evaluate the progress in the state of Connecticut. And I think that that would be a service that somebody in the state of Connecticut, maybe us, could provide to make sure that -- as the testimony that we got this morning, these skills are perishable, these discussions whither over time, and I think that we need to make sure that we are responsible to the

communities in Connecticut that we keep this alive and moving forward.

DR. BENTMAN: A couple of disparate things. One is that I think that one of the remarkable things about those -- about the reports, both the Columbine report and the Virginia Tech report, was that it -- they were riveting stories. They were documents that were hard to put down, and they were written -- they were written for every man to read. So the degree to which we can -- our report can echo that despite the diversity amongst us would be terrific.

I think it's important that ultimately there is one author who speaks in one voice and we get to assist that author in editing where we think it's relevant.

My second question has to do with whether it's possible to find out from those who served on the Columbine commission and the Virginia Tech commission, to find out where they saw their recommendations succeed, where they saw this -- their documents be effective, and why it is that they thought that their recommendations failed and what advice they have to us with respect to that.

DR. SCHONFELD: Two points that I wanted to make. First off, I agree that it's going to be

important to have a report and it be well written and well thought out, so I agree with all that was said. But from my experiences on either working on commissions or task forces, where I've been most satisfied that I've had an impact is not based on the quality of the report, it was based on the work of the commission and what the commission implemented and was there to help guide be implemented.

So that generating the report and listing a lot of great ideas and then disbanding usually leaves no one to implement it with the same veracity as you would have gotten from the commission. So that I would really encourage us to think through how we start with certain recommendations and then we start making sure that those recommendations get -- you know, try and get them implemented, and then in the report describe it further, but not wait for the report to be the final product of this group.

The other thing I want to say is a little bit of a counter view. I understand that there is a report that's going to be coming about -- out which will have more specifics about what happened in Sandy Hook, but if we are truly taking an all hazards approach, I don't think that that report is going to issue that much new information that will dramatically change the

recommendations of how we would approach school security, mental health, behavioral health in the state of Connecticut or in the country.

I -- it's an important event to understand,
but I think we can go ahead with our work and then we
can adapt it if there is new information that comes out
that is that ground -- that changes it so much. We
certainly will have time to do that. But my guess will
be that at least 90 to 95 percent of the report would
stay fairly unchanged. We might need to add some
specifics or tweak it, but I don't think we should be
waiting for that report as somehow we can't get started
until that is issued.

MS. FLAHERTY: I actually agree. I think if we were going to delay writing until after we got the State's Attorney's report, I think a couple of people have said that -- and it sounds like you already have an outline at least drafted, so I think starting writing over the summer, that goal is at least a deliverable at least potentially by December. It sounds like that was kind of the plan anyway.

CHAIRMAN JACKSON: That is correct. Now December is no ticking time bomb, but it's nice to have -- it's nice to have a goal to shoot for. And like Dr. Schwartz said, if it's not ready, it's not

ready, and we'll continue to work on it, but we should work with some alacrity.

Dr. Schwartz.

DR. SCHWARTZ: So, again, just to add my voice to what happens to reports that sit on the shelf and few people read them, you know, our commission certainly is reading the reports of past commissions, but we all know the risks.

So one of the really remarkable things I learned at the White House conference on mental health was about the transformation that a number of organizations have gone through in their use of media as -- in reaching out.

So we heard from a couple of organizations that are really focused on reducing stigma and their use of social media would -- you know, is just turning communication on its head.

Should we be moving to conceive of a report, but other media presentations that would accompany the formal written report? I'm not an expert in social media. I'm just suggesting that what is going on, you know, out there is incredible.

I think if we want anybody under 30 to ever read this, we might need a Facebook page. And I don't know how we would use it to distribute the information

that -- you know, that we've produced but we could have someone -- I could probably make a recommendation on the basis of some of the folks who presented at the White House conference for somebody who could come in and talk with us about the dissemination of information in the age that we're in.

And there is no member of this panel as I'm looking around who I think is really -- you know, who grew up with the transmission of information in that way. Sorry, Ezra. Ezra -- you know, Ezra was carded the last time we went for a drink together. So you're right, I should have been thinking about that.

(Inaudible.)

DR. SCHWARTZ: Right. It's been a long time since we've had that drink.

The other thing I want to say is to respond to the chief's comment. I think, you know, another out-of-the-box way to think about the work that we're doing is to maybe reconsider that it's not just a single -- a static event of the publication of -- you know, of a report.

I think the notion of our coming together for an annual review, perhaps with, you know, when necessary, you know, an update, an annual review update of some kind, is something that really would make a

very different kind of contribution and really, you know, it's a way of saying, hey, this business of just pulling commissions together and writing reports, this is not good enough. This is not an adequate response to what's happened.

MR. SULLIVAN: I agree with Dr. Schonfeld's comment before that we don't have to wait for the report. I just want to issue a caution. The report is going to be very large, there's going to be some sense of morbid curiosity. I don't think it benefits us to get hung up in the depth of the report. I think from our perspective, not to oversimplify, what we're looking at, if we're talking school security, how was the penetration of the school made, what could possibly be done to prevent that, knowing that acts like this, in general, cannot be prevented; and, two, is there any real information about the mental state of the perpetrator.

I think we need to be very careful that we don't get hung up on looking at all the photographs and the diagrams and all that sort of stuff. I just want to issue that caution, because if you get into that deeply, you'll try to become the investigator. The news media will give 28 slants on it anyway. We don't have to add to their slants. I think we want to look

at the basic facts that affect what our mission is here.

DR. SCHWARTZ: Just a last comment. Just an observation. We're really talking entirely about the process of a report rather than the substance of the report. And I know -- I mean, we can't do that probably until we have some draft out -- you know, out on the table, you know, to do it, but I would hope that we would be able soon to have a kind of an equivalent conversation about that to the one that we're having now that lays out the building blocks of what we actually want to say, the substance.

CHAIRMAN JACKSON: Absolutely. And one final thought on format and process is that if it is to be broadly consumed, it has to be available in whatever mechanism the consumer wants.

So if you take a look at this report that was given to us today, if you want to see a one line as to what happened, here it is. If you say, you know, that's interesting, I want to dig a little bit deeper, you go to the next tab. And you have a narrative of it. You say, wow, okay, that's interesting, but something's missing. Let me go to the legislation itself. Let me get into the depths of it. And you can flip right back in the same report and find that.

So tiering out the information delivered I think is critical. You want to put it on Facebook, you put it out like that. You start with that one liner, and if that one liner is interesting, then somebody has to drill down as far as they need to go to get it. So there are clearly ways in which we can make it readily consumable. I must say the notion of a commission that issues a report and then gets back together, well, that is something different. Anything else? Then I guess that means it's lunch time. Pending any opposition, we are adjourned. Next meeting will be August 16th. (Hearing adjourned.) 

CERTIFICATE I hereby certify that the foregoing 128 pages are a complete and accurate transcription to the best of my ability of the electronic sound recording of the July 12, 2013, Sandy Hook Advisory Commission hearing. Patricia L. Masi, RMR, CRR Date LSR No. 00012