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SANDY HOOK ADVISORY COMMISSION
APRIL 12, 2013
Legislative Office Building
Hartford, CT

SCOTT JACKSON, Committee Chair
DENIS McCARTHY
BERNIE SULLIVAN
ADDRIENNE BENTMAN
CHRISTOPHER LYDDY
DAVID SCHONFELD, M.D.
HAROLD SCHWARTZ, Ph.D.
KATHLEEN FLAHERTY, Esq.
ALICE FORRESTER
BARBARA O'CONNOR
ROBERT DUCIBELLA
RON CHIVINSKI
PATRICIA KEANEY-MARUCA

CONNECTICUT COURT REPORTERS ASSOCIATION
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Canton, CT 06019

AGENDA

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3 I. Call to Order

4 II. Gary Steck - CEO Wellmore Behavioral Health &
5 Chairman of the Board, Connecticut Community
6 Providers Association

7 Robert Plant, Ph.D. - Chief Clinical Officer,
8 Wellmore Behavioral Health

9 Sheila Amdur, MSW - Past Interim President/CEO,
10 CT Community Providers Association

11 III. Abby Anderson, M.A. - CT Juvenile Justice Alliance,
12 Executive Director; Keep The Promise Coalition
13 Children's Committee Co-Chair
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1 (Proceedings commenced)

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3 UNIDENTIFIED SPEAKER: We'd like to start our
4 morning. We'll be joined shortly by our Chair, Scott
5 Jackson. We have a full program today, and this would
6 be a good opportunity for everyone in the room on the
7 Commission to introduce themselves, perhaps starting
8 with Denis.

9 DENIS McCARTHY: Denis McCarthy.

10 BERNIE SULLIVAN: Bernie Sullivan, former police
11 chief, City of Hartford, former Commissioner of Public
12 Safety for the State of Connecticut, and former Chief
13 of Staff of the Speaker of the House, and lovely
14 retired three times.

15 ADDRIENNE BENTMAN: Addrienne Bentman,
16 psychiatrist, Program Director at the Institute of
17 Living.

18 CHRISTOPHER LYDDY: Good morning. I'm Christopher
19 Lyddy. I am the former State Representative for the
20 Town of Newtown. I'm also a licensed clinical social
21 worker here in the State of Connecticut, and I'm a
22 Program Manager at Advanced Trauma Solutions in
23 Farmington.

24 DAVID SCHONFELD: I'm David Schonfeld. I'm a
25 developmental behavioral pediatrician. I direct the

1 National Center for School Crisis and Bereavement at
2 Saint Christopher's Hospital for Children in
3 Philadelphia.

4 HAROLD SCHWARTZ: I'm Harold Schwartz. I am a
5 psychiatrist and Chief at the Institute of Living and
6 Vice President of Behavioral Health at Hartford
7 Hospital.

8 KATHLEEN FLAHERTY: Kathy Flaherty, staff attorney
9 at Statewide Legal Services and a mental health
10 advocate.

11 ALICE FORRESTER: Alice Forrester, Executive
12 Director at Clifford Beers Clinic in New Haven.

13 BARBARA O'CONNOR: Barbara O'Connor, the Chief of
14 Police at the University of Connecticut.

15 ROBERT DUCIBELLA: I'm Bob Ducibella, founding
16 principal and Principal Ameritas, Ducibella, Venter &
17 Santore Security Consulting Engineerings.

18 RON CHIVINSKI: Ron Chivinski, teacher, Newtown
19 Middle School.

20 PATRICIA KEANEY-MARUCA: Pat Keaney, member of the
21 Connecticut State Board of Education.

22 SHEILA AMDUR: Sheila Amdur. I'm the outgoing
23 interim CEO at the Connecticut Community Providers
24 Association, and I'd also just like to introduce Morna
25 Murray, who is our new president and CEO, and thank you

1 very much for having us today.

2 ROBERT PLANT: I am Dr. Bert Plant. I'm the Chief
3 Clinical Officer at Wellmore Behavioral Health.

4 GARY STECK: I am Gary Steck. I'm the CEO at
5 Wellmore Behavioral Health, and I'm the Chairman of the
6 Board of Connecticut Community Provider Association.

7 SCOTT JACKSON: And I'm Scott Jackson, Mayor of
8 the Town of Hamden. We want to thank you all for
9 coming in today. So, why don't we get into the first
10 panel, which is addressing the behavioral health needs
11 of children and youth. So, friends, the floor is
12 yours.

13 GARY STECK: Thank you, Mayor Jackson, and members
14 of the Commission for this opportunity to speak today.
15 We have already introduced ourselves, so we can skip
16 over that.

17 CCPA is a trade association that represents
18 organizations providing services and support to people
19 with disabilities and significant challenges including
20 children and adults with substance abuse disorders,
21 mental illness, developmental and physical
22 disabilities. CCPA provides service to over half a
23 million Connecticut residents a year.

24 Dr. Plant and I were among 23 Wellmore staff who
25 were early and first responders to the shooting at

1 Sandy Hook Elementary School. We were blessed to be
2 joined by our state agency partners and dozens of
3 colleagues from CCPA members such as Family &
4 Children's Aid, Clifford Beers, including Dr.
5 Forrester, Wheeler Clinic and Yale on site during those
6 first critical days.

7 Now, sometimes it's in our darkest hours that we
8 find our greatest strengths. We come before you today
9 to offer some concrete action steps and recommendations
10 that we believe offer the hope for a better future for
11 Connecticut citizens struggling with mental health
12 problems. But before beginning our presentation, we
13 want all those watching and listening in Newtown and
14 throughout Connecticut to know that we remain ready and
15 able to help today. We know there remain many folks
16 who have put off getting support, assistance and
17 counseling because they wanted to make sure that others
18 got help first. We also know for others who felt they
19 could manage on their own, that the burden remains
20 heavy. We urge those in need to seek counseling. For
21 most people, counseling offers relief that can help you
22 feel at least a little better. The best way to get
23 this help is to dial 211. You will be connected with
24 confidential and discrete services near your home, so
25 please call today.

1 I would just offer a basic overview before we get
2 into this, and I will sort of do an executive summary.

3 Mental health problems are common, and Dr. Plant
4 is going to get into some detail about this, but in the
5 United States alone, 57 million people each year suffer
6 through mental health issues.

7 Mental health treatment works. I think there's a
8 lot of misnomers about this, but the success rates for
9 mental health treatment are comparable, or in some
10 cases, better than those of other chronic medical
11 disorders such as diabetes, asthma and heart disease.
12 Only a third of people with mental health problems
13 access care in a timely manner, and there are
14 significant human and economic costs to poor access and
15 to care that is not effective or is not as effective as
16 it could or should be. And just as an example of that,
17 people with severe emotional or mental health issues
18 tend to live much shorter lives than their peers. And
19 we'll talk in detail about that later, also.

20 So, there are ten things that we believe that we
21 can do now to significantly improve the system and the
22 outcomes that it produces. The first is restore the
23 funding for the proposed cuts of \$63 million in mental
24 health and substance abuse treatment funding from the
25 Governor's proposed budget. This is a time of

1 unprecedented demand for our services. We really need
2 to be all working together on this. It's sort of
3 counterintuitive, but we hope that we can overcome this
4 hurdle so that we can keep the system intact and
5 supportive of all those in need; established rates or
6 other payment mechanisms and Medicaid that cover the
7 full cost for delivering care; assure the Mental Health
8 Parity Act is enforced; support U.S. Bill 2257,
9 Excellence in Mental Health Act; support the delivery
10 of excellent care through the promotion of
11 evidence-based practices; initiate a wide-scale public
12 information campaign to increase knowledge and
13 understanding about mental health issues and reduce
14 stigma; improve coordination across systems and
15 programs; expand prevention and early intervention;
16 strengthen existing crisis services; and expand funding
17 for school-based services and behavioral health care.

18 ROBERT PLANT: So, just to say a little bit more
19 about how common, unfortunately, mental health problems
20 are, the most recent data indicates that approximately
21 50 percent of adults at some point in their life will
22 meet criteria for a mental health disorder. We also
23 know that for most of those adults that have a mental
24 health disorder at some point in their life, that
25 disorder began in childhood, typically before age 14.

1 We also know the World Health Organization has been
2 computing something called the Global Burden of
3 Disease, and it's really a way of looking across the
4 world at what diseases are creating the greatest burden
5 to individuals and societies. And they have identified
6 those that lead to the highest rates of disability and
7 human suffering. And they have their top ten diseases
8 that contribute to this burden across the world, and
9 five of those top ten are mental health disorders.
10 They are listed here as depression, alcoholism,
11 self-inflicted injury, schizophrenia and bipolar
12 disorder. So, those are five of the top ten across the
13 world, and you see the same thing in the United States.

14
15 We also know that for children, one in five,
16 roughly 20 to 21 percent of children, have -- meet
17 criteria for a mental health disorder. And for a
18 subgroup of about half of those, their disorder leads
19 to very significant impairment and their ability in
20 school, at home, in the community. And so what we have
21 is a pretty big problem, a very common problem that
22 requires a great deal of attention.

23 So, fortunately, we also know that there are many
24 mental health treatments that work, and that treatment
25 is effective. A survey by the American Psychological

1 Association showed that nine out of ten individuals who
2 received psychotherapy found that it was helpful. We
3 know that effective medication therapies exist for most
4 but not all of the significant illnesses that -- mental
5 illnesses that individuals suffer with. And when we
6 have done meta-analyses, which are basically taking
7 large numbers of studies that have shown to be of high
8 scientific value, we take those studies and we put them
9 together and we look at what is the overall pattern of
10 all of these studies showing us; that there are very
11 strong positive effects for psychotherapy on symptoms
12 and on functioning, and that those outcomes are
13 comparable, in most cases, to what we see for
14 medication therapy. In some cases, the psychotherapy
15 produces a stronger effect size than medications. But
16 it's not really looking to pit medication versus
17 therapy because the general consensus is that when you
18 do both together when someone is depressed, gets both
19 therapy and antidepressant medication, that that's when
20 you get the best outcomes possible.

21 One of the things I will say, though, is that not
22 all treatments are created equal and that we don't see
23 uniform effects or uniform outcomes across any therapy
24 that is delivered. And there have been thousands of
25 studies over the last 10 to 15 years that have shown

1 that what we call evidence-based treatments, and those
2 are treatments that are very well defined. They are
3 researched to show their effectiveness. They are
4 compared to other types of treatment and to what's
5 usual care or usual care that is delivered out in the
6 community. And there's a very strong set of findings
7 that show that typically these evidence-based practice,
8 where there's a lot of attention made to how do you
9 deliver this service, how do you make sure that it's
10 consistently delivered in the same way each time it's
11 delivered, that they get better results than usual
12 care.

13 And so, there's been a growing movement in mental
14 health to try to make more of the services that are
15 provided evidence-based. You see the same thing in
16 medicine and in education and in other fields as well,
17 trying to use what the evidence shows works to get the
18 best outcomes. Despite this, though, and despite our
19 knowledge of some treatments that work better than
20 others -- there was just a recent article in the New
21 York Times, March 25th, that reported -- they did a
22 survey of therapists and found that very few, less than
23 25 percent, indicated that they were delivering some
24 kind of evidence-based treatment. And then when you
25 look even further at that, even though some therapists

1 say they may be delivering it, it wasn't clear whether
2 they were actually delivering it effectively or not or
3 whether it was just based on a single training.

4 And so why is there this gap between what we know
5 works and what is actually being delivered in many
6 cases? There's sometimes a lack of funding because it
7 can cost sometimes more to deliver these evidence-based
8 programs. There aren't many incentives right now
9 because if it's a fee-for-service system and it's an
10 insurance payment, it's going to pay the same for any
11 kind of therapy as it is for an evidence-based
12 treatment. Our training programs and higher education,
13 I don't believe -- haven't caught up with this trend
14 and aren't adequately preparing folks who are
15 graduating with degrees to deliver therapy with an
16 understanding of these evidence-based treatments. And
17 there, also, tends to be a lack of awareness amongst
18 people in general, including consumers, so that they
19 don't know what to ask for.

20 The issue that Gary mentioned before is that even
21 though mental health problems are very common, there's
22 really only about a third of the folks who have the
23 disorders who actually get care particularly in a
24 timely manner. And there are many different barriers,
25 and my colleague Sheila will go into a bit more in

1 detail, but some of them are the stigma, the shame and
2 the blame associated with mental illness that keep
3 people from seeking care.

4 The capacity of the system and the rates that get
5 paid, sometimes it can be a confusing system, depending
6 on how old you are and what kind of diagnosis you have,
7 where you get care. So, the system itself can create a
8 barrier, the general knowledge of the community, and
9 that there can sometimes be limited range of treatment
10 options so that maybe somebody needs more than
11 outpatient therapy but they don't need to go into the
12 hospital but maybe that's not readily accessible
13 because of their insurance plan or something else. And
14 it can be difficult, particularly within private
15 insurance, to find a qualified provider easily who
16 takes your insurance, who's available to take new
17 patients and who can be -- you know, provide convenient
18 hours.

19 SHEILA AMDUR: So, you know, what we're trying to
20 do in this presentation for many of you, perhaps, who
21 work in the field, you know these things, but the
22 reality is, I think, to understand the prevalence of
23 mental illness and its impact upon our health as a
24 society, to understand -- to have some basic
25 understanding of what these are, these are

1 biologically-based illnesses, whether in a child or an
2 adult, and to understand what the issues then present
3 in terms of why people can't access care, I think, is
4 very important to be able to change what we currently
5 have today, which is a fairly broken system.

6 I've worked in this field all of my adult life. I
7 have experienced in my own family serious mental
8 illnesses, and I'm very much aware of the fact that
9 stigma -- stigma is the overwhelming issue to people
10 seeking access. The Surgeon General highlighted this
11 in his 1999 groundbreaking report, and even though it's
12 a report that's -- how many years ago was that, 14? --
13 14 years ago, it's still an extraordinarily important
14 report. Today, even though I think the public
15 understands much more so than ever that these are
16 biologically-based illnesses, the public's view of
17 mental health and what we internalize in our own belief
18 system is much more negative than it ever was. We're
19 going to stress -- I mean, some of the outcomes where,
20 you know, we've talked about failure to seek -- access
21 care for -- and I know we're supposed to be talking
22 about children, but -- we are going to talk about
23 children, but many of the -- many of the serious
24 problems that exhibit themselves in childhood become
25 then exacerbated in adolescence, and a lot of the

1 long-term research that is going on shows that you can
2 maybe turn some of that around. And when it isn't
3 turned around, so going into young adulthood and
4 adulthood, really impairing the capacity of the person
5 to be a productive citizen.

6 I strongly believe -- and I don't think it's just
7 my belief, I think it's a fact -- that because of the
8 stigma related to mental illness, the treatment of
9 mental illness has always been a second class in our
10 health care system, and that gets reflected in the
11 rates for mental health. Some of you -- you know, I
12 won't -- I know it has to be proven, so it may not all
13 be true, but there's a lawsuit against a major
14 insurance company in our state about a -- Connecticut
15 and the American Psychiatric Society related to the
16 rates they pay that they say are differential related
17 to mental health treatment.

18 We have very, very low rates of payment in this
19 state of Medicaid that pays for poorer populations. In
20 fact, Connecticut has one of the worst records in this
21 country to recognize the costs of what it costs to
22 provide care in terms of what state government does, in
23 terms of contracting with private non-profits.

24 Psychiatry -- if you look at the rate -- we should
25 have actually provided you with that -- if you look at

1 the rate of increase in spending in health care, the
2 rate of increase in spending for mental health
3 treatment is far lower than -- far lower than it is for
4 general health care. And this has led to, I think, a
5 shortage of psychiatrists because psychiatrists, in
6 terms of reimbursement, are not paid as well. The
7 demands are extraordinary on psychiatrists wherever
8 they practice, and obviously, our capacity has not kept
9 up with demand.

10 ROBERT PLANT: One of the things that I had
11 mentioned earlier as something that interferes with
12 accessing care is that we have a very complicated
13 system of providing mental health services. In the
14 President's New Freedom Commission, which was about
15 seven years old, I think, for too many Americans with
16 mental illness, the mental health services and supports
17 they need remain fragmented, disconnected and often
18 inadequate, frustrating the opportunity for recovery.
19 And so what we have are many different provider
20 systems. We have many different state agencies. We
21 have private insurance. We have Medicaid. We have
22 different criteria. And as a result, trying to
23 navigate that when you have a problem is a significant
24 barrier to receiving care. So, we have -- you know, in
25 Connecticut we have DMHAS, the Department of Children &

1 Families, the Department of Correction, the Court
2 Support Services Division and the Juvenile Branch,
3 Judicial Branch that provides some services. The
4 Department of Public Health has a role in overseeing
5 things. The Department of Developmental Services
6 offers services for a particular subgroup, and they all
7 fund these different components, but if you're a family
8 or an individual, it can be really daunting to figure
9 out where do I go, which door do I enter. And they all
10 have their own criteria, eligibility criteria, means of
11 access, exclusions, et cetera.

12 And so this slide is really just to be
13 illustrative. It's something that I put together. I
14 don't know if I can vouch for the percentages, but it
15 really shows you -- it's meant to show how complicated
16 things are with the Department of Public Health,
17 Department of Developmental Services, CSSD, D.C.F, the
18 Value Options Plan that oversees Medicaid, and other
19 services funded through DSS. It's really very
20 complicated, and the degree of overlap is significant.
21 Similarly, if you look at who are the provider groups,
22 who's providing services for children and youth, you
23 have some D.C.F. funded grant programs. Some of them
24 also receive funding for Medicaid. You have federally
25 qualified health centers. Lots of services are

1 provided in schools and school-based health centers and
2 other grant funded care. There's pediatric care that
3 provides some degree of mental health service, private
4 group practices, et cetera. So, you have this really
5 patchwork type of thing, which in some ways offers lots
6 of options, but mainly what it does, at times, is
7 create little bits of care being provided here and
8 there and often not coordinated in a way that is in the
9 best interests of the child, the family or the adult.

10 GARY STECK: I think this Commission has already
11 heard a little bit about Mental Health First Aid, and
12 there are other models that are being proposed and
13 suggested as means of improving the knowledge and
14 understanding in the public and amongst professionals
15 related to mental health issues. But one of the things
16 that I think has been most striking for me in
17 particular over the last four months is, I think,
18 there's a general misunderstanding that everyone who is
19 a nurse or physician or who is medically trained is
20 trained in understanding mental health issues and is
21 comfortable in dealing with the topic. And I have
22 witnessed that in the way in which there was --
23 obviously, right after the shooting, a tremendous need
24 for people to rush in and to provide support. And then
25 in the days and weeks after, families and all those

1 affected reached out to their health care providers,
2 and their -- other people in the community that they
3 felt supportive of; only some of whom felt comfortable
4 and ready to provide support or even felt that they had
5 the information necessary to provide the support.

6 And then certainly, this is a broad circle, but we
7 think, as our field, the stigma associated with mental
8 health and substance abuse issues is such that we're
9 just getting our voice. There are many people that are
10 uncomfortable with the topic. There are many people
11 that are uncomfortable with the intensity of it, but we
12 feel it's imperative that in the context of our
13 longer-term solutions for Connecticut that we begin
14 looking at how we can change public understanding of
15 this so that we identify and connect with people as
16 soon as possible and then make the bridge in connection
17 into care quick, seamless, and supportive.

18 Dr. Plant and I both have been treaters for many,
19 many years, and one of the most frustrating things that
20 we experience is when someone comes to us after having
21 a bad experience someplace else. So, we want to make
22 the entry points into the system supportive. We want
23 to make it comfortable for people to seek aid quickly,
24 and we want to develop ways in which we identify these
25 issues earlier before small things become bigger

1 things.

2 In the context of what can be done, there are --
3 and referencing Dr. Plant's two slides -- very, very
4 complex system. Who is the payer for care matters a
5 lot in terms of what care ends up being delivered. So,
6 in Connecticut, it actually turns out that the Medicaid
7 system has a quite robust menu, although the
8 reimbursement and payment structure is such that few
9 private providers choose to participate in Medicaid.
10 It has many services that are simply not available to
11 taxpayers, including home-based services, intensive
12 services, early childhood services and case management.

13 So, there are pieces of the Medicaid system that
14 we feel offer great hope for the future of an improved
15 system, and yet the funding mechanism that underlies it
16 is a disincentive for private providers or any kind of
17 providers to provide more care or to participate.

18 Equally, on the commercial insurance end, there
19 are many types of interventions that are now showing to
20 be sort of state of the art and cutting edge like peer
21 specialists, peer coaching, supportive housing and
22 recovery housing for persons with mental illness or who
23 are in recovery from substance abuse that are simply
24 not reimbursed under commercial insurance, but they
25 either maybe partially reimbursed under Medicaid, or

1 more likely, the state grant system provides some of
2 those services, but they are disconnected. So, we
3 think that there are pieces that are there that offer
4 hope, but it's very disconnected.

5 Finding a provider. In mental health and
6 substance abuse services, our human time is -- and our
7 labor costs are labor intensive because the unit of
8 time is at least an hour. So, you may go to see your
9 general practitioner who might see three or four or
10 five people in an hour, maybe less, but in our field,
11 the typical intervention is at least an hour of time.
12 So, if you are running a private practice and you're
13 running a solo practice, if you see 40 people in a
14 week, that means that it's 40 hours plus all of the
15 paperwork and all of phone calls and all of those other
16 kinds of things. So, it is not easy to find someone
17 who is in your network. It is not easy to find someone
18 that's open. It is not easy to find someone that has
19 your specific expertise. If you're a family, it's very
20 difficult to find someone that will provide
21 availability for after hours, after-school hours
22 treatment, so that your child does not have to be
23 removed from school.

24 At Wellmore, we run a very large child and
25 adolescent, and for that matter, adult practice. The

1 call for our services is so substantial that many of
2 them do happen during the day, and there are -- we've
3 even had difficulties with school systems that
4 discouraged kids from coming for appointments during
5 the day because they didn't want them to miss school
6 time, and yet their emotional and behavioral problems
7 were such that they were gravely suffering at school.

8 So, it sort of is a complex system, but
9 Connecticut does have a deep pool of quite talented
10 people. My experience in the days and hours after the
11 tragedy in Sandy hook was it was very difficult for us
12 to identify people that had the specific skills that we
13 were looking for that Dr. Plant and I and others who
14 had families that we needed to connect with for care to
15 find people that were skilled and trained in
16 evidence-based approaches or experience working with
17 traumatic grief that happened to also be available that
18 have after hours -- I mean, have hours in the
19 afternoons and those kinds of things, that they all
20 aligned. So, it's a -- the potential is there, but the
21 system is also quite stressed.

22 ROBERT PLANT: So, in speaking about these various
23 barriers and access difficulties, one of the things we
24 need to recognize is how costly it is when people don't
25 get the care that they need. In 2010, suicide was the

1 10th leading cause of death in the United States; over
2 38,000 lives. And, presently, I'm sure folks have
3 heard that for active duty veterans, more are dying
4 from suicide than from being in combat. It's estimated
5 that roughly a quarter of the nation's work force
6 experiences a mental health or substance abuse
7 disorder, and that depression alone contributes to 200
8 million lost workdays each year.

9 And when you look at the financial burden of
10 mental illness, it's not chiefly the cost of the
11 treatment that creates that cost burden, it's all of
12 the other related things that end up occurring
13 particularly when someone is not treated. So, those
14 include things like unemployment, absenteeism, drop out
15 from school, poor school performance, disability, et
16 cetera. It's those costs that are the great burden as
17 well as the obvious human suffering that goes along
18 with it. So, it's very costly that we don't have a
19 good system to get people matched up to the treatments
20 they need.

21 SHEILA AMDUR: So, you know, as we said at the
22 beginning, and now I think we'll go into a little bit
23 more depth, and I think with lots of time for your
24 discussion and questions, are several action items.
25 And, really, they focus, as we've been trying to focus,

1 on promoting understanding and acceptance of mental
2 illness so people don't feel any hesitation in seeking
3 treatment for themselves or a loved one, assuring that we
4 have access and we have a rapid response, that we have
5 early intervention and that we have a coordination of
6 care across systems. I think all of these
7 recommendations come into that picture.

8 The first -- frankly, and I think we have to
9 stress that over and over again, restore funding for
10 the -- the \$63 million in the Governor's budget that
11 relates to funding for clinical services that are
12 Medicaid reimbursable in the next two fiscal years. We
13 understand why that happened. There was an assumption
14 because with the expansion under health care reform,
15 the Affordable Care Act, that somehow these -- this
16 would all be paid for. And let's remember the
17 expansion is 100 percent paid for by the Federal
18 Government. The reality is that because the rate --
19 rates are so low, Medicaid rates are so low, we're
20 looking -- we're doing a cost study right now that
21 basically covers at a maximum 50 percent of the costs
22 providing outpatient care, detox treatment and so on.
23 So, this is going to result in severely, severely
24 reduced access. So, we are also promoting a rate
25 commission or rate study that, actually, in the state

1 looks at what are the cost, what are reasonable costs
2 for delivering care.

3 Mental Health Parity. We have legislation that is
4 pending but enforcing the Mental Health Parity Act.
5 Parity basically means it was a federal law requirement
6 that -- parity simply means that you treat mental
7 illness as -- and pay for it in the same way that you
8 would treat for any other -- any other health care
9 condition, and it still isn't happening.

10 There's a federal bill, Excellence in Mental
11 Health Act that's interesting to me, having worked in
12 this field so long. This is the 50th anniversary of
13 the passage of the first comprehensive community mental
14 health legislation under President Kennedy. And this
15 Excellence in Mental Health Act essentially carries that
16 into today's world.

17 We have said promotion of evidence-based
18 practices. I can't stress enough a wide-scale public
19 information campaign. We're not talking about a lot of
20 money here. You know, this is something that the state
21 could partner with the Ad Council, with foundations,
22 with others. They've done this in Sweden. It's
23 something we really, really, I think, have to consider.
24 It's a basic public health issue, coordination of care,
25 prevention and early intervention.

1 Let me just note that for the 16 to 25 year-olds,
2 this is a population of young people who are at the
3 highest risk for early onset of mental illness, of
4 suicide prevalence and of substance abuse -- heightened
5 substance abuse problems. Existing crisis services
6 we'll go into more and funding for school-based health
7 service and behavioral health care.

8 I talked a little bit about what is happening with
9 the budget, and we -- the Governor's budget also
10 assumes that everybody will be enrolled as of January
11 1st, 2014. We know, and I think our own state
12 officials have said there will be a -- people will sign
13 up gradually over time. We have many services that are
14 provided clinically that aren't supported. And so we
15 have to look into the future. It's always, you know,
16 the laws of unintended consequences. If you do
17 something, what happens that you did not expect will
18 happen? And the access, we are very concerned, is
19 going to be severely limited. And we know because our
20 providers are already making plans to shut down and
21 sharply curtailing their services as a result of these
22 cuts.

23 I'm not going to repeat what everybody told you on
24 the next line. We -- one of the areas that concerns me
25 the most -- it's the most expensive area in terms of

1 what is done in outpatient services is medication
2 management for people who have the most serious
3 illnesses, and that includes children. And there's no
4 way -- I mean, rates just do not -- even the grants do
5 not cover -- the grants that providers receive do not
6 cover these costs.

7 Let me just mention that Connecticut has been a
8 very heavily grant-dependent state for its clinical
9 treatment of people who are on Medicaid, much more so
10 than other states in the country. Frankly, the reason
11 for this, since I've worked here -- worked in this
12 field maybe too long at this point -- is that we --
13 this was really a delivered policy decision. It's a
14 way that you limit access. Medicaid is an entitlement.
15 If the child or a family or an adult presents for
16 treatment with a medically necessary condition under
17 Medicaid, they must be treated. If you present and,
18 you know, you don't have the capacity to treat, I guess
19 then they have to wait, but by -- grants are a fixed
20 amount. Grants don't expand as the way Medicaid
21 treatment -- as the way Medicaid fees expand. So, over
22 the years, we have used grants. And now,
23 paradoxically, we're cutting the grants without looking
24 at what the outcome will be.

25 Okay, yes. I'm continuing. So, parity. We've

1 talked about that, talked about that. The other
2 interesting thing about parity is that it doesn't apply
3 to Medicaid. So, that's the federally -- the federal
4 health insurance program that all states provide, it
5 doesn't apply to Medicaid. You don't have to treat --
6 you don't have to treat health care services equally
7 under Medicaid under the national parity law. And
8 Connecticut could remedy that, but at this point, it
9 does not, and it's probably one of the reasons why
10 behavioral health rates are as low as they are.

11 We have a -- as I had noted, there is a law
12 currently in the Legislature related to parity. Just
13 the national -- the national -- the national law, I
14 think, is of importance. I guess we can individually
15 support that. I think our state delegation in Congress
16 is supporting it, but it would basically allow a
17 payment system, which is what this all about. It
18 should be about payment and performance, quite frankly.
19 You know, your payment, as hospitals are learning, are
20 more and more linked to performance, and they should be
21 for other services, but it would have a payment system
22 that basically would begin to pay at a rate that
23 reflected the costs of care based upon the actual
24 ability of a behavioral health center to provide
25 outcome based care.

1 ROBERT PLANT: So, another area where we think is
2 critically important, I spoke earlier about the gap
3 of -- between what we know in science and in our
4 research and our experience of what are the most
5 effective treatments, and yet, they are not routinely
6 delivered or not easily available, and it has to do
7 with a number of things.

8 One of the ways -- in my previous work when I was
9 with the State of Connecticut that we were able,
10 through D.C.F., to expand the number of evidence-based
11 practices that were available is to pay for those
12 components of the treatment through a grant funding
13 structure that wouldn't be reimbursed under Medicaid.
14 So, for example, if you're delivering something like
15 trauma focused cognitive behavioral therapy, that's a
16 very effective, very well researched treatment for
17 children and adolescents who have experienced trauma.
18 There are many costs associated with it beyond just
19 simply being there with the family and with the child
20 and doing the therapy. You need to have specialized
21 training that occurs. If you're going to deliver it
22 effectively, there should be ongoing consultation to
23 those people who are delivering the service to make
24 sure that they're delivering it appropriately. You
25 need to have measures and a quality assurance system to

1 see if you're on track with the delivery of that care.
2 And all of those things cost money that Medicaid
3 doesn't cover.

4 So, one option is to look to expanding that
5 strategy of providing grant funding to community
6 providers that will help them to deliver specific
7 evidence-based treatments that will serve the greatest
8 number of children and adults in the state.

9 There is also an option to provide enhanced rates
10 because right now if I deliver an evidence-based
11 treatment or I deliver just usual care, there's no
12 differential rate that is paid. And one of the ways
13 that would increase decimation of evidence-based care
14 is if there were differential rates made based on
15 delivering an evidence-based practice.

16 We do need to look at promoting, as I said
17 earlier, within the educational system, that folks
18 coming out of graduate school actually get trained in
19 these approaches or at least get exposed to them, which
20 is not on a wide-scale basis happening right now. And
21 I don't know that we have time to get into it today,
22 but there are sometimes systemic barriers that get in
23 the way of evidence-based practices being delivered,
24 and those would need to be addressed as well.

25 SHEILA AMDUR: So, let me talk little bit about a

1 public health campaign. You know, I'm a mental health
2 professional. I've worked my life in this field, but
3 I've also had the privilege of working very closely
4 with the National Alliance on Mental Illness that I
5 think the Connecticut chapter presented to you, also
6 with a Keep The Promise Campaign that is a coalition
7 that is going to be presenting to you later; a
8 coalition of consumers and families and professionals.
9 And it is profound to me when working, really, as a
10 volunteer with families or individuals, the shame and
11 the blame. The shame, first of all, about the illness,
12 feeling that it's based upon some personal fault or
13 failing or what did I do wrong as a family member or
14 the finger pointing by others of us when we see
15 something happen, and the impediment that that then --
16 that that then interjects in anybody trying to seek
17 help, frankly, because of -- because of the way they
18 believe they're going to be viewed and treated.

19 So, Mental Health First Aid is part of that, but I
20 think it has to go beyond that. I mean, it has to be
21 on the sides of buses. It has to be in public
22 information. It has to be in famous individuals who
23 themselves have had this experience or in their family
24 coming out and talking with us. And you do this by, I
25 think, having leadership and enlisting, as I said

1 earlier, people with -- people in the private sector to
2 work with you. It's so that -- it's so that if you
3 call -- Gary said at the beginning, you know, 211. 211
4 is really aimed at anybody with a child -- any family
5 who has a child in crisis, you call 211 and you can get
6 immediately hooked up to care. But how many of you who
7 aren't in the system even know about 211? I mean, 211
8 should be a universal number that we -- is familiar to
9 all of us as 911. And when you call that number, you
10 should be able, just as you would in a medical crisis,
11 another medical crisis, to get connected immediately,
12 to have somebody on the other end of that line who is
13 very knowledgeable, and there's funding to support that
14 kind of care management that makes sure the person is
15 hooked up -- that you then get hooked up and there is
16 accessible care because, frankly, if we do a public
17 health campaign and we don't have accessible care, I
18 don't know -- the outcome would not be exactly, I
19 think, what we hoped it would be.

20 ROBERT PLANT: And so another improvement is in
21 the area of prevention and early intervention. We know
22 when we look at the numbers of mental health
23 professionals and the numbers of individuals who have
24 mental health problems, we're really short in terms of
25 the work force, and that really one of the most

1 important ways to address this is to have early
2 intervention and prevention so that less children are
3 growing up with a mental health problem. One method of
4 doing this is to build into our schools through teacher
5 preparation and certification using evidence-based
6 approaches that teach about social and emotional
7 learning because we know that social and emotional
8 learning are just as important and just as key as the
9 other types of learning that takes place in school.
10 And, often times, if children don't have the social and
11 emotional skills to navigate in the classroom, they are
12 unable to benefit from other instruction or don't
13 benefit as much as they could.

14 There are also other types of early intervention
15 programs, Birth to Three Programs, Child First that
16 really take a look at children who may be at risk due
17 to poverty, due to exposure -- you know, there's -- to
18 neglect or abuse, specific programs that look at
19 children, young children, at risk and how to address
20 those risks so that they don't develop into a disorder.

21 Another area is with adolescents because we know
22 that for major disorders such as schizophrenia or
23 bipolar disorder, they usually first appear in
24 adolescence or early adulthood. And there's a lot of
25 evidence coming out to indicate that if you intervene

1 quickly and effectively with a combination of, you
2 know, family education, psychoeducation and medication,
3 that you can really reduce the impact of that
4 potentially very significant disease if you go right at
5 that period where children are starting to show signs
6 of a more major mental illness. And so, I think those
7 are some of the key things in terms of prevention and
8 early intervention that would help to promote better
9 outcomes.

10 And the other piece that I have had a lot of
11 experience with over the years is in developing crisis
12 services. Sheila was speaking earlier about 211. And
13 about three or four years ago, we entered into a
14 project with 211 to link it to our emergency mobile
15 crisis service for youth and adolescents in the State
16 of Connecticut. And by doing that, we went -- we saw a
17 300 percent increase in calls in about a three-year
18 period, which was really one of our goals to have
19 better utilization and access to this program. But
20 what we know is that when families, children, adults
21 are in crisis, it's often one of the unique
22 opportunities to engage into treatment because there's
23 high motivation, and you have a situation around which
24 to rally and to try to provide assistance. And so
25 having a crisis service that is readily available and

1 can help both stabilize the crisis but also transition
2 that child or adult to ongoing services is a critical
3 piece of the system.

4 Now, both DMHAS and D.C.F. operate crisis
5 services. On the D.C.F. side, I think the thing that
6 they've been running into is they're sort of reaching
7 the max of what can be handled in terms of the volume
8 because there's been this 300 percent increase in calls
9 over the last number of years. And so, you know,
10 additional funds need to support that program so that
11 it can be more available, and also to do -- you know,
12 it sounds like even though we've had a 300 percent
13 increase, I think if you asked most people, you know,
14 what would you do if your child was in crisis, it's
15 still take them to the emergency room or call 911
16 because not enough people are aware. And so there
17 would be need to be some funds put into some kind of
18 awareness campaign. And I think on the adult side with
19 the DMHAS services, they still serve a relatively
20 narrow target population that can access those
21 services, and our belief is it should be the same as it
22 is on the children's side where any individual with a
23 psychiatric and emotional crisis could contact that and
24 get a home-based immediate response.

25 Another area that we know is a very, very

1 effective way of delivering care and to provide early
2 intervention and prevention services is in the school.
3 Children spend many, many, many hours in school every
4 week, every year, and, you know, one of the ways to
5 remove the barrier of access is to provide the services
6 right there where the kids are at. And so we also know
7 that in Connecticut we have a school-based health
8 center program. It doesn't reach all schools. It
9 reaches some schools. The model combines physical
10 medicine care along with mental health care. And what
11 we find is often times kids are going to the office for
12 a stomach ache or a headache or some other vague
13 physical concern, and what we find out through that
14 intervention is that it's really an emotional,
15 psychological, it's a depression or it's an anxiety,
16 and then they can be served within that without stigma
17 because they're going to the nurse's office or to
18 the -- and they don't get identified in a way that
19 creates a barrier. So, I certainly think that
20 school-based health is an excellent way to deliver this
21 kind of care, but we need more of them. It's really
22 spotty in terms of where they are around the country --
23 or around the state.

24 But also one thing that I've seen through some
25 pilot projects that has been very effective is we don't

1 have enough psychiatrists to treat children in the
2 schools, and there can be a lot of missed appointments
3 when they have to leave school and then go to an
4 office. And there have been some very successful
5 projects with telepsychiatry where the psychiatrist is
6 in their office, the child is at school, and they're
7 linked together through video conferencing and they can
8 have a visit in that way. And there's some very good
9 outcomes associated with that.

10 So, just to kind of sum up -- I know we've
11 presented a lot of information, ten different kinds of
12 recommendations or action steps that we think would be
13 helpful in improving the outcomes for kids, families
14 and adults. We know what works. There's very clear
15 kind of emerging evidence about what's most effective
16 and what's going to produce the best outcomes that will
17 reduce pain and suffering, improve efficiency and
18 effectiveness because if we're really providing the
19 most excellent care possible, fewer children are going
20 to drop out of that care or not get better or not need
21 to move on to more higher levels of care and they'll
22 stay more engaged in treatment and get better outcomes.

23 We believe that many of these things, because so
24 many costs get shifted in other arenas, whether it's
25 into the judicial system or into the school system or

1 into other places where we end up paying for it through
2 other remedial services or, you know, through crime,
3 through many other ways, and that this would be cost
4 neutral or save money if we were just more effective
5 and had a more organized system as we have described.
6 And that doing nothing is really going to end up being
7 more costly both in human terms as well as financial
8 terms.

9 So, that's the end of our presentation, and we'd
10 be very interested in hearing what questions, comments,
11 et cetera that folks have. I know there's a lot.

12 SCOTT JACKSON: Thank you for your presentation.
13 Questions for the Panel? We'll start with Mr.
14 Sullivan.

15 BERNIE SULLIVAN: We know that we do annual
16 physical exams now. Insurance companies like to pay
17 for them because they know early identification
18 produces less cost to intervention. Has any thought
19 been given to developing, maybe just within the school
20 system, where there was a periodic mental health
21 wellness exam given because a lot of these illnesses do
22 start at that age where you would do one maybe in
23 grammar school, maybe one in high school or junior
24 high, whatever, as the same means of trying to identify
25 things earlier enough where you can get a cheaper,

1 early intervention which might encourage insurance
2 companies to participate more?

3 GARY STECK: There has been some work done in
4 Connecticut on this. The project that we're most
5 familiar with in Waterbury, we -- there's several
6 pediatric practices that do universal screening at all
7 of the pediatric visits because it's a very normative,
8 neutral setting. The kids come in on a regular basis.
9 They have a caregiver with them, and there's a normed
10 researched tool that is used, and then, basically, if
11 there are issues to be followed up with, we have a
12 relationship with several of these providers, and they
13 directly connect them with us. In the context of
14 schools, it's somewhat of a controversial topic because
15 there's some concern about the potential of identifying
16 and labeling. We think it warrants a public
17 discussion. We certainly believe in empowering parents
18 to make decisions for their families and supporting
19 them, but I think that in the context, especially of
20 what we're seeing and how quickly things are changing
21 in our field, this is something that does require more
22 dialogue. There are some schools that we were involved
23 with a small project locally where there was some
24 screening done, and there were -- parents were
25 concerned about it, but we're learning as we go on

1 that. But it is something that warrants much greater
2 public dialogue.

3 DAVID SCHONFELD: I understand that your
4 presentation was to give an overview and a foundational
5 representation, so I appreciate that. But moving
6 forward -- so perhaps these are things to consider to
7 get information back to the Commission later on.
8 There, obviously, is a lot that you're suggesting. And
9 when you talk about restoring \$63 million in a budget
10 during a time -- at the same time that you're also
11 suggesting a lot of expansions of reimbursement, new
12 programs, other approaches, I think it would be helpful
13 to the Commission to drill down a little bit more to do
14 the really hard work, which is how do you prioritize if
15 there's only \$5 million or \$10 million or -- and I know
16 we don't have a particular dollar amount, and I'm not
17 suggesting we limit ourselves, but we do need to think
18 about how we would prioritize because if, indeed, the
19 system is -- and I know the system does many excellent
20 things, and I know you weren't suggesting when you say
21 it might be broken, but restoring a broken system is
22 not actually necessarily going to do anything better
23 than what we had before; so, thinking through how to
24 help us with the prioritization, which is a really
25 difficult task. The other is if, indeed, the system is

1 not ideally set up, and I don't think it is in any
2 state, how are we going to look at innovative models.
3 If we talk about screening, if we say that the
4 prevalence is 25 percent when we've done some screening
5 of large school systems, like New York City school
6 system, we can't really refer 25 percent of the
7 population out. And if the lifetime prevalence is 50
8 percent, referring them all out for subspecialty
9 treatment is probably not going to be viable. So, we
10 might want to be looking at other innovative models to
11 do more of the prevention work that you referred to,
12 early intervention and other complementary approaches
13 to it. And then the only other thing to -- just as a
14 comment for us to consider is if part of the task of
15 this Commission is to come up with suggestions for
16 Legislative changes and Legislative approaches, many of
17 the things that you're recommending I fully endorse,
18 but I also know don't work well in terms of Legislative
19 solutions. So, trying to change education and training
20 in a professional field is hard to do through
21 Legislative mandates or Legislative approaches.
22 Similarly, trying to prioritize as to what is best
23 mental health treatment, I don't know that you're going
24 to really want the Legislature to dictate for the
25 professional field how to do that. So, I would like

1 you to think through with us, not necessarily today,
2 but over time what are actually some of the
3 recommendations for specific Legislative actions that
4 could be taken that may create some solutions that will
5 be measurable and impactful with perhaps limited
6 resources.

7 ROBERT PLANT: I appreciate that feedback, and I
8 kind of think you're right on. I think just off -- you
9 know, based on our thinking on this, the rates are
10 really key because a lot of the things that can be
11 potentially done to improve care to bring in
12 evidence-based practice are tied to whether there's a
13 sufficient rate to pay for the care. And so I think
14 that's a -- that is a priority, particularly as we're
15 moving, presumably, from less grant funded into more of
16 a fee-for-service environment with the health care
17 reform. So, I think there needs to be a lot of
18 attention paid to the rate issue, and that is something
19 that does get discussed legislatively about how rates
20 are set, and so I would say that's a big one. And also
21 within that, we do have some experience in Connecticut
22 of establishing some differential rates for particular
23 programs that meet criteria. There were things written
24 into our Medicaid plan under the rehabilitation option
25 that identified a set of criteria by which certain

1 programs could be identified for Medicaid reimbursement
2 at a rate that would cover the cost. And there is --
3 you know, it recently passed, and it's there. It could
4 be acted on. So, I do think there are some specific --
5 those are two specific things. But I hear what you're
6 saying is particularly legislative proposals, and ones
7 that recognize that we're not going to be after the
8 funds to serve everyone in the community.

9 DAVID SCHONFELD: If I can just follow up, just to
10 clarify. Certainly, the Commission can make
11 recommendations about the importance of professional
12 education and training, and I'm not -- I'm not,
13 obviously, in the position to limit what we're
14 considering doing within our report, but I just do know
15 from experience that those recommendations are helpful,
16 perhaps, but they're not necessarily as directly
17 impactful. And so, if you could help us, so even when
18 you talk about rates, is it that you want a
19 recommendation that the rate commission you were
20 talking about where that party be empowered to make
21 certain decisions -- you know, if you can, again, help
22 us with some of the specifics. And I'm not suggesting
23 right now, but in follow-up conversations, I think
24 we're probably more likely to be able to make a
25 difference if we know exactly what's going to make the

1 biggest difference, if you can help us with that.

2 SHEILA AMDUR: We just presented a plan with the
3 Legislature, which I'm sure we can now present to you.

4 HAROLD SCHWARTZ: I want to thank you for a
5 thoughtful and well-crafted presentation. Thank you
6 for being here this morning. And there is a lot that
7 we could respond to; one comment and then two questions
8 along the lines of the questions that you just received
9 in terms of asking for more detail. But the comment I
10 just want to share is that I very much agree that rates
11 are an absolutely central issue. And I would further
12 observe that I think the notion that the Medicaid
13 expansion incorporated into the Affordable Care Act
14 would actually dramatically increase access to care is
15 the big hoax of the Affordable Care Act, and that has
16 not received sufficient attention. And it is equally
17 problematic in the Governor's budget because the
18 elimination of so many of our grants from DMHAS and
19 other agencies is predicated on the premise that the
20 additional Medicaid reimbursement flowing into the
21 coffers of providers could possibly compensate for
22 those grants when it cannot. And as a provider, I can
23 confirm that certainly the primary alternative to
24 what's what coming down the road is looking at
25 downsizing and closing many of the programs that have

1 been grant supported in the past because they simply,
2 you know, won't be sustainable. So, very important
3 issue.

4 Two areas in which I would also hope that you
5 could come back to us and perhaps help us think out in
6 more detail how to proceed, you demonstrated -- your
7 slide on the crazy quilt of agencies with
8 responsibility for various aspects of the supposed
9 system of care was, you know, really illustrative, I
10 think appropriately illustrative, of the situation. Do
11 you have some thoughts about how to undo that crazy
12 quilt into a unified approach, at least on the public
13 side to management and accountability for behavioral
14 health services? If you do, you know, we would love to
15 see it. In the past, pieces of that crazy quilt have
16 at least come up for discussion. The notion that
17 D.C.F.'s behavioral health responsibilities perhaps
18 should be incorporated into DMHAS, you know, et cetera.
19 I'm not necessarily advocating that, just suggesting
20 that's been one example that's come up in the past.
21 This is a very big part of the problem, and we would
22 love to hear your further thoughts about that. Again,
23 not necessarily here, although if you have them here,
24 that would be fine.

25 My second point that I would like to know more of

1 your thoughts about has to do with the distinction
2 between the commercial and private side of this issue
3 and the public side. So, you have suggested promoting
4 private insurance coverage of community-based crisis
5 services as an example. You have also suggested that
6 through parity or otherwise, private insurers ought to
7 be funding additional levels of care that they do not
8 fund and recovery-oriented services, which they clearly
9 do not fund. My question is, how do we get there? It
10 seems to me that this issue is at the crux of the
11 distinction between the medical necessity model in
12 which private insurers are essentially paying for what
13 we might generally think of as more biologically
14 oriented ways to look at it, or at least more symptom
15 driven ways to look at illness on the one hand, and the
16 more holistic notion of the many aspects of being human
17 and in recovery that compromise -- that comprise mental
18 health and illness.

19 The public agencies, for all of their problems,
20 get it to a degree and attempt to make connections such
21 as -- the vital connection between having a place to
22 live and symptoms of depression or schizophrenia, and I
23 think they're equally important. The private
24 commercial insurers -- I could say that they don't get
25 it. I'd rather say that they have no incentive to get

1 it, and it's not immediately apparent. Now, for all of
2 the talk that we need to move in this direction, we
3 possibly can move in this direction, and if you have
4 some thoughts about that, I'd love to hear them.

5 GARY STECK: Both of us do. So, Bert has been
6 with us at Wellmore for, what, nine months now, eight
7 months, but our -- my agency only merged recently to
8 become Wellmore, and the whole point of the merger was
9 taking a children systems provider and a adult recovery
10 oriented provider and putting them together under the
11 simple idea which was that it need to be our problem in
12 how people access the system and figured out that we
13 needed to be the ones who were figuring out how they
14 would get into this silo or that pathway, because as an
15 outside consumer, it's impossible to read that chart,
16 which -- actually, the chart that Bert developed was
17 equally inspired by our experience of what we saw at
18 Sandy Hook in the first weeks that there was -- there
19 were so many people who rushed to help, and there's so
20 many ways in which people were helping, we were -- even
21 though we were -- you have a long career with the
22 state, and I've been doing this for a long time, there
23 were new people to us that day. It's such a complex --
24 and there's so many steps that it really hit home for
25 us that a person who is in crisis or is experiencing a

1 problem with their child is not going to be sitting
2 there with their encyclopedia of Connecticut resources
3 and is not going to be in a position to effectively
4 advocate or navigate the system.

5 ROBERT PLANT: I think that it's an excellent
6 question, and I think we will be able to come back with
7 you with other things. What I could say right now is,
8 I mean, one of the means, I think, of writing this is a
9 different payment system where, you know, it's not
10 payment on a fee-for-service basis, but it's a case
11 rate covering a population. And I think eventually
12 that's where the Affordable Care Act is intending to
13 go. And I do think what that does is if you're being
14 paid on your performance and you're responsible for a
15 group of individuals, then there are incentives, then,
16 to provide good mental health care because of how
17 closely those outcomes are linked to other costly types
18 of treatments for heart disease or diabetes or other
19 types of things. I think it's only when it's kind of
20 pulled together in that way -- that's what we need to
21 get to eventually. Obviously, those case rates and
22 that system needs to be very carefully designed and
23 planned, but I think that's sort of a systemic
24 solution. The kind of interim solution may be that
25 there is discussion of reimbursing care management as a

1 reimbursable service so that you have a guide. It's a
2 crazy system still, but you have somebody who's holding
3 your hand who's trained in it and knows the ins and
4 outs and helps to guide you through it. So, those are
5 at least two that I can think of at the moment, and I'm
6 certain there are others.

7 GARY STECK: And lastly, you know, our comments
8 are -- we are not at all suggesting that this is solely
9 the government's responsibility to fund or to change,
10 that the community providers are -- we're willing to
11 look at ourselves in the same way as, obviously,
12 Doctor, from your own experience looking at your own
13 system, we need to be a part of the solution and we
14 need to be flexible and willing to change.

15 It's a whole new ball game. There is rapid change
16 in Connecticut. 2014 is a big year, but we are --
17 community providers need to be and want to be at the
18 table and part of this discussion, and certainly are
19 not looking for the government to fix everything for us
20 or to fund everything without our help or
21 participation. We need to be a part of the solution
22 also.

23 SCOTT JACKSON: I'm going to jump in with a
24 question of my own. I have far less, I think, exposure
25 to the system than many of the folks around the table.

1 I understand your discussion of the inputs in order to
2 extend the services. You need to extend the inputs,
3 but you also talk about these evidence-based practices
4 that provide a better model for delivering the
5 services. Can you -- if it's possible in a brief
6 period, can you explain the difference between, sort
7 of, a standard set of service and one of these EBPs?
8 And we also heard from the advocacy community at a
9 previous meeting that the role of peer support is
10 critical. Can you give me your impressions on that
11 statement?

12 ROBERT PLANT: Sure. So, with regard to the
13 evidence-based practice, we use an example that may be
14 relevant here, which is, you know, if you have a child
15 who has experienced a traumatic event in the community,
16 whether it was related to something that happened in
17 Newtown or in their own community, witnessing violence,
18 victim of violence, whatever it might be, and you get
19 referred to an outpatient clinic, there are 16
20 clinics -- I believe that's the number currently, 19?
21 It's gone up. That's good. -- 19 clinics in
22 Connecticut that offer trauma-focused cognitive
23 behavioral therapy, which is a particular
24 evidence-based approach to treating children who have
25 been exposed to some type of traumatic event or having

1 symptoms related to that. And under that, you have
2 clinicians who are specially trained who collect data
3 throughout the delivery of care to track how the child
4 and the parent who is involved are doing. That data
5 gets, you know, sent in, gets looked at and gets
6 reviewed. And there is also consultation on a regular
7 basis to make sure that people stay on track with the
8 delivery of service. Those are like the key elements
9 of an evidence-based practice.

10 The alternative would be that you go to a clinic
11 where they don't offer TFEBP and you get whoever the
12 next clinician is on the list who's available, and they
13 provide whatever therapy they happen to be trained in
14 or felt most comfortable with during their training and
15 so forth. And, you know, the reality is the usual care
16 will probably help, and there's a lot of evidence that
17 it does help. It's just -- it's not likely to help as
18 much or as quickly as doing a focused evidence-based
19 practice, like trauma focused EBP. So, that's one
20 example. You can probably count 25 others and all
21 kinds of alphabet soup I could throw at you with MST,
22 MDFT and all of these other things, but that's one.

23 SHEILA AMDUR: Yes, and Mayor Jackson, I think
24 this gets back to the issue of parity. If you think
25 about medical care, the very rapid trend is this trend

1 towards paying for health outcomes, paying for
2 performance, paying for practices that really do help
3 people recover and improve their health. And there are
4 penalties being applied to hospitals. There are new
5 models related to physician groups. They're doing this
6 mostly now around people's chronic illnesses, but we
7 don't do that kind of thing in the treatment of mental
8 illness, mental health conditions. We -- you know, we
9 pay for an outpatient visit, which is good and that can
10 help, but we don't say, okay, if you have depression,
11 we really want to pay for -- maybe we'll pay a higher
12 rate for cognitive behavioral therapy because that's
13 going to lead to more success and it's going to prevent
14 emergency room use. It's going to prevent
15 hospitalization. So, if it's -- again, bringing the
16 same -- and we don't know as much on mental health,
17 obviously, as -- you know, the research dollars that
18 have gone into the understanding of mental illness are
19 far eclipsed by any other -- by any other research
20 funding that this country spends. And I think, again,
21 related to stigma, even though the impact, the dollar
22 impact, you know, here and World-Wide is huge for, you
23 know, the five major illnesses that you've heard -- you
24 know, commented on earlier.

25 So, it's a question of -- and I think, frankly,

1 you know, you hate to say it, but I think money is
2 going to move the argument because whether it's
3 somebody with a heart condition and diabetes, the
4 highest cost person to treat is that person that has a
5 chronic illness and depression and/or substance use
6 problem.

7 So, our health care system is very expensive, and
8 hopefully, through more enlightenment, frankly, of policy
9 makers and people like you and people all around, we
10 can begin to have people say, hey, we should be doing
11 this. We should be pushing evidence-based practices.
12 We should be pushing pay for performance and for
13 healthy outcomes and requiring the people who provide
14 those services to be able to offer what someone needs
15 for the condition for which they're presenting. As I
16 said, we don't know enough about what we need to
17 provide with the conditions with which people present,
18 but hopefully, that will improve, too.

19 KATHLEEN FLAHERTY: I just want to follow-up a
20 little about -- you had mentioned the use of the
21 recovery support specialist, and, you know, like the
22 Mayor talked about in the previous presentation when we
23 talked about the use of peer support but also in terms
24 of families with the younger children, family
25 advocates, can you talk a little bit about the use of

1 all those different folks in the system, especially
2 getting -- possibly getting them paid for and
3 reimbursed for, too.

4 SHEILA AMDUR: Extraordinarily important. If you
5 are a parent for the first time, you know, confronting
6 a youngster who can't focus, they can't -- they're not
7 sitting still in class, their learning is impaired, no
8 indication of any cognitive -- you know, of any
9 intellectual problem, and you -- you go to your
10 pediatrician and you say, you know, maybe your child
11 has ADHD, you know, what does that mean. The best way
12 for you to begin to grapple with this to understand it
13 and to know how to cope is to be able to talk to
14 somebody else who's gone through this. And as I said,
15 just the -- through the work I've done with the
16 National Alliance on Mental Illness, I think it's quite
17 profound. We have some very limited payment for,
18 actually, those kinds of family advocates through
19 grants. It would be interesting to see if in other
20 states they're using -- well, peer support in some
21 states is a Medicaid covered service. It's the same
22 thing for a person who has a mental illness themselves.
23 The peer support and the person who can assist in
24 almost a care management way, helping them get to
25 appointments, being there, available to talk to them

1 when they're in crisis has a huge, huge effect in
2 helping that person live productively in the community.
3 And we're just beginning, I think, to think about, you
4 know, how do we make this an integral part of what we
5 do in a treatment system.

6 ALICE FORRESTER: Thank you very much. I am a
7 member of CCPA and on the Child Board, so I think I
8 wanted to say that first, but, you know, Doctor, you
9 have mentioned around our role as the Commission and
10 what the impact of a report would do and sort of
11 raising, you know, what would be the question. And I'm
12 very struck from our very first presentation we had
13 from the fellow who -- the Governor who was on the
14 Columbine Commission, and his talk about the impact of
15 the report that they produced had this idea of going in
16 quicker after the -- you know, once the threat is
17 identified to go into the school, the building, you
18 know, and have a response within minutes as opposed to
19 hours or -- you know, and that communicating that in
20 their commission report, I think, changed practice
21 across the nation.

22 And so, you know, every time I drive up here from
23 New Haven, I'm always thinking is what the Commission
24 going to do and what's our role in this. And one of
25 the things that has been extraordinarily distressing to

1 me is that conversations on gun control are less
2 complex than mental health. And I've had some
3 unfortunate response from our intermediate report of
4 people saying, my God, you didn't mention mental
5 health. Where was the mental health? That they
6 misinterpreted that the intermediate report was a
7 mental health report. And so I think that our role and
8 our job here is incredibly important.

9 And I just want to point out one point that maybe
10 is not being brought out as much which is around stigma
11 and connecting it to the emergency response and the
12 idea of running in faster, if you will, to the building
13 when the threat is identified. One of the things we
14 heard from Marissa Rendazzo from the Secret Service in
15 the last report -- in the last meeting we had is that
16 threat assessment -- and I know there was a lot of
17 discussion around it -- is not pointing fingers and
18 identifying and blaming, but rather a multidisciplinary
19 informed group of people who understand the signs and
20 symptoms of distress and emergency and can pull it
21 apart. And her description was that it was a case
22 management approach. Find the best person who could
23 talk to the child or the youth and address it, address
24 it and try to intervene in that way. And I think that
25 what we're talking about and what we heard today is

1 that it's really going to take -- it's not complex, and
2 it's not hard to understand that when someone is in
3 distress, they need help. And the help is available.
4 And it's done in a loving and compassionate way. And I
5 think that if this Commission could focus on the social
6 impact -- it is not going to mean mental health workers
7 who are going to fix this problem. It is going to be
8 the school guard. It's going to be the friends. I
9 heard on the way up here that one in ten kids in
10 college commit -- I think consider suicide, and it is
11 their friends who understand and acknowledge the issue
12 related to the kid's distress. So, it's going to be
13 changing people's perceptions and ability to talk about
14 it. And I think that -- I'm sorry. I didn't mean to
15 take a platform here, but I just want to say that
16 it's -- as a community provider, my job to run this
17 child guidance clinic in New Haven is to understand
18 that it's not just to deliver the services, but to
19 create a community of people who are aware of what to
20 do and how to handle it. And so I think we could have
21 an impact as a Commission to really point out and
22 address that it is everyone's responsibility, you know,
23 to have the knowledge of and to understand and to
24 normalize the conversations related to mental health.
25 And I think Dr. Schwartz, your challenge around

1 Affordable Care Act is quite complex, but I do think
2 there is an opportunity in the holistic view of health
3 in that if they're going to reduce the costs and visits
4 through the ER, it's going to be through a mental
5 health intervention as well as that. So, I think that
6 there's hope. I think that there's opportunity, but I
7 think that our impact has to be very clearly on
8 addressing the stigma.

9 BARBARA O'CONNOR: I think that's a great segway
10 to my question. Sheila, you mentioned Connecticut was
11 the worst -- and I need to have you repeat that. What
12 is Connecticut the worst at in the country?

13 SHEILA AMDUR: Not the worst, but we are -- and
14 Bill has these, I live right next to the campus -- very
15 happy. The -- we're not the worst, but we are one of
16 the lowest states in terms of when the state
17 government -- state government, let's say Department of
18 Children & Families or Department of Mental Health and
19 Addiction Services contracts with a non-profit provider
20 to provide -- it might be case management services,
21 whatever it might be, or Department of Mental Health &
22 Disabilities, it might be, you know, residential
23 support or services for people living in group homes,
24 we are one of the worst states. And looking --
25 looking, basically, what does it cost to provide this

1 service and what is a reasonable rate, either through
2 Medicaid or through a grant that we pay for the
3 service. This is from an urban institute study, I
4 think of -- I think it was 2010. It was in -- the
5 Governor's non-profit cabinet highlighted this in their
6 report last October. So, it's interesting because, you
7 know, we aren't a poor state, and we -- you know, we do
8 spend a lot on many, many different kinds of services.
9 It's just that we've gotten to a point in our
10 non-profit provider community that it's basically had
11 almost no increase. I think it was a one percent
12 increase in this, last half of one percent increase
13 this year, but there haven't been any increases just to
14 the grant system in five years. And the Medicaid rate
15 system has had a few specific adjustments, but overall
16 practically nothing in 20 years, that we are so far
17 behind in supporting that cost. Now, we shouldn't just
18 throw money. I mean, obviously, you just can't throw
19 money, and that's why we were talking about, you know,
20 a lot of approaches that are targeted, that are paid
21 for performance, that require productivity, that
22 require, you know, people who are providing the
23 services could be -- you know, to be much more focused
24 on health outcomes and the person, et cetera, et
25 cetera. And there are many things -- there are many

1 examples of that on the physical health care side, but
2 that's the concern.

3 BARBARA O'CONNOR: Okay. Thanks for clarifying
4 that we're not the worst, but we're not good. So, just
5 to sort of follow up on that, are there states, other
6 states, that you have studied that have grappled with a
7 lot of these issues that have developed best practices
8 that you might point Connecticut to?

9 ROBERT PLANT: I mean, I don't know if there's a
10 state you can go to and say they do it right. I know
11 what we do find are there are programs that other
12 states have implemented that have been helpful and
13 successful, and then we look to implement those. And
14 so I know there's some discussion. I don't know if
15 funding has been allocated for -- I think it's called
16 the MCPAP Program, Massachusetts Child Psychiatry and
17 Pediatrics, I think that's what it stands for. But
18 that's sort of like a model program where they bring
19 consultation from a psychiatrist and care management
20 from a trained professional to assist pediatricians in
21 serving children with mental health that are in
22 their -- problems that are in their practice. So,
23 that's sort of -- it's a model practice that
24 Connecticut is looking to adopt. And I think it would
25 be a good thing for us to adopt that. I don't know --

1 you know, once in a while we've had consultants come in
2 on Medicaid and pretty much what they say is that, you
3 know, if you've seen one Medicaid plan, one Medicaid
4 state plan, then you've seen one Medicaid state plan
5 because they all seem to be organized quite
6 differently. And it's often times difficult to take
7 whole system approaches from one state and apply them
8 in another. That's at least been my experience. But
9 there are lots of examples of, sort of, model programs
10 that have proven outcomes and results and costs savings
11 that we could adopt. I know, for instance, you know,
12 we did, over the last ten years, bring in a lot of
13 home-based, evidence-based programs for juveniles who
14 were involved in the juvenile justice system. And as a
15 result of that, there was a recent report that
16 Connecticut achieved the highest in the country of
17 reducing the rate of incarceration for juveniles. And
18 I can really point directly to bringing those
19 home-based, evidence-based programs into Connecticut,
20 disseminating them widely, had a dramatic impact, and,
21 you know, it's recognized nationally. So, you know, we
22 found these things that we know work, that have worked
23 other places, and then we bring them in and we try to
24 bring them to scale, and they are very successful.

25 SCOTT JACKSON: Thank you, I think we have time

1 for one more.

2 ROBERT DUCIBELLA: Thank you very much. You know,
3 Dr. Schwartz and Dr. Schonfeld have -- and others on
4 this Commission have a great deal more importance than
5 I do. I'm more of sort of an organizational
6 specialist. And if you don't mind, let me just tell
7 you the eight points that I walked away with from your
8 presentation and then ask you sort of the \$64 question
9 at the end of it, which is a bit of an amalgamation of
10 what others in the Commission have asked you, but there
11 seems to be an extraordinary complexity of systems to
12 the mental health care process. And I very much
13 appreciate the doctor's representation of that terribly
14 graphic and confusing chart with all of the bubbles
15 that overlap that don't allow anybody to walk through
16 that with any degree of confidence. There's
17 underfunding of programs and provider services.
18 There's a lack of capacity in the system. There's
19 simply too few mental health care providers and
20 professionals. There's a stigma of declaring that one
21 is in need of mental health, and so the treatment
22 doesn't reach all of those in need. But perhaps
23 inappropriate, or perhaps very appropriate, a
24 correlation that mental health patients are inherently
25 violent and this enhances the stigma quotient. We

1 should increase the interventions of potential mental
2 health disease at earlier ages; increase the
3 availability of mental health services in schools, and
4 advance the increased frequency of evidence-based
5 practices within the mental health practice. And I
6 consistently hear from you, from others and from
7 listening to my colleagues who are more knowledgeable
8 about this on the Commission, that in some cases
9 Legislative action is appropriate, and in some cases,
10 modification of the funding system at the federal and
11 the state level is appropriate; in some cases, training
12 programs are appropriate in some; in some cases,
13 enhancing the ability and efficacy of people within the
14 school and what they do.

15 I mean, there's a broad range of potential
16 modifications that you've suggested. I look at that,
17 you know, as a building and design and structural
18 engineer and architect and realize that, you know, when
19 we create built environments, there are a lot of
20 different people who participate, and a lot of
21 different things that looked at individually and out of
22 context would suggest that nothing should ever be built
23 and be built well, but it happens. And so the question
24 I have is using that as sort of a paradigm, who is
25 ultimately responsible in addressing these issues under

1 a unified umbrella with an opportunity to actually
2 affect change here in the State of Connecticut?

3 SHEILA AMDUR: That's a very good question. And
4 the reality is that, I mean, the -- it is -- overall,
5 it's the state. Now, is there one state entity.
6 Because the state, actually, through its Insurance
7 Department -- for example, our insurance department --
8 I'm speaking of my personal -- my experience over time,
9 does not really do what it should do to enforce parity
10 laws. We had -- we had the strongest parity law,
11 actually, in the nation. And when the federal parity
12 law was passed, we fought very hard to make sure that
13 Connecticut's law would not be undermined. So -- and
14 so the Insurance Department enforces that. We have a
15 Department of Mental Health and Addiction Service which
16 oversees broadly treatment services -- not broadly,
17 narrowly, because they narrowly -- to people with
18 serious mental illnesses, that treatment system, and
19 the Department of Children & Families which oversees
20 the child system. And then in between, you know,
21 you've got specialized services that come -- that the
22 Department of Education supports in school systems.
23 Those school systems, you know, design themselves, what
24 they're going to do. There are certain things they
25 have to do under the law. You have all of the other

1 bubbles that -- so, it's interesting because the -- a
2 previous Governor this the Governor's Blue Ribbon
3 Commission on Mental Health -- and, you know, it pulled
4 together all those parties and came up with a
5 comprehensive blueprint, and some of it has been
6 implemented. Most of it hasn't.

7 So, what does the state do about it? I don't
8 believe, frankly, that a mega agency works. I think
9 it's so big that, you know, nobody knows who's doing
10 what, but there are ways -- the state is, for example,
11 just been funded by the Federal Government -- we didn't
12 even mention this -- but to undertake a look at all of
13 the payment systems in both Medicaid and in private
14 insurance and to design payment models that have more
15 of a relationship to the kind of outcomes that you want
16 to seek. Vicki Veltri, the state's office -- the
17 health care advocate, has been asked to lead that
18 initiative and that's going to be a very intensive
19 six-month process. You know, so there are ways under
20 that that we can -- and that's part of our -- the
21 four-point plan that Dr. Schonfeld, you know, will
22 share that with you that we gave to the Legislature.
23 We said that that -- you know, that needs to include
24 great focus on mental health payment mechanisms. So, I
25 don't know. I don't know that we have any

1 recommendation that says there should be a commission
2 or a coordinating body. There's a lot of coordination
3 between Department of Mental Health and Addiction
4 Services and Children & Families around young adults,
5 young people, who are transitioning out of the care and
6 protection of the Department of Children & Families,
7 but they don't cover that broader sector of young
8 people whose parents are -- you know, they are not in
9 that system and that are confronted with what happens,
10 you know. When my youngster -- my 18 year-old is
11 suddenly holding himself up in his room and he's acting
12 strangely and screens are falling and he won't see
13 anybody, they don't cover -- you know, they don't cover
14 his problem. So, I don't know. And I think it's a
15 very good question and it -- maybe it's addressed by --
16 I'm just thinking, you know, extemporaneously here, but
17 maybe it's addressed by having some very focused
18 initiative. I know that the Legislature is -- I think
19 they did pass in their legislation a very focused
20 initiative related to the mental health system across
21 the board, particularly related to the 16 to 25
22 year-old age group. And so how that's going to be
23 constituted, what they're going to do with it, I don't
24 know.

25 ROBERT DUCIBELLA: Thank you, because the note --

1 the question I was going to ask you is, if we have a
2 system that isn't working particularly well, because
3 you have documented the fact that it's not, and if
4 it's -- if we're not in a clear position to say we have
5 a Navy that consists of a wide range of boats that --
6 all of which need to be addressed and we don't really
7 have an admiral, it sort of seems to me that one of the
8 things that I will propose or the Commission will
9 certainly look at, if it makes sense, is should there
10 be a working group or a task force that's assembled
11 that specifically addresses these and the other issues
12 that have been brought to our attention, and over a
13 limited period of time, creates a simple focus point,
14 identifies it as a unique set of specific agenda, take
15 these various points of the system, which could
16 rapidly, from what you say, make our mental health
17 community a better place to be and to work in and get
18 results from, and put together a series of
19 recommendations that seem to make sense, because right
20 now we hear a lot of different testimony and everyone
21 says -- not says -- says not the same thing. This is
22 not to be pejorative of what you're saying, but there
23 just doesn't seem to be an organizational structure
24 that can attack it from a multi viewpoint perspective
25 and do that under the aegis of having a solution-based

1 process at the end of which a series of recommendations
2 can happen. And I heard about a Blue Ribbon
3 Commission, but it sounds to me like that's something
4 that would make sense. Does that -- yes or no -- make
5 some sense? I don't need a --

6 SHEILA AMDUR: As long as it doesn't become the
7 report that goes in the round file and the end of the
8 process. I sat through that.

9 ROBERT DUCIBELLA: I second that, yeah. Thank you
10 very much.

11 UNIDENTIFIED SPEAKER: I would add to that.

12 GARY STECK: I know that you didn't deliberately
13 do this, but you didn't mention families and consumers,
14 and I think that we have to remember that we are
15 Connecticut. We all are -- we are consumers. We are
16 family members here at this table, and that everyone
17 needs to have a voice. And I actually took your
18 comment in a different way because I think that this is
19 an opportunity for hope and for change, and I don't
20 think any of us ever dreamed that we would be here.
21 But for those of us that are in this field, I don't
22 think that any of us dreamed equally that we would
23 begin to be able to talk to everyone about the
24 day-to-day struggles of the families that we work with
25 in a more comfortable, normalizing fashion where we're

1 encouraging people to get help early before it ends up
2 being a bigger problem. So, I think that we remain
3 hopeful. I appreciate your analysis, but I don't -- I
4 think that we need to remember this needs to be done
5 with consumers of services and families all along.

6 SCOTT JACKSON: Thank you. I want to take a --
7 thank the Panel for its excellent and insightful
8 testimony. Thank you very much. Why don't we take a
9 quick break, a seven-minute break. We'll reconvene at
10 11:15.

11 (A recess was taken)

12 SCOTT JACKSON: All right. Friends, why don't we
13 reconvene. Next panel is Dr. Eric Arzabi and Abby
14 Anderson. Thank you for coming in. Good to see you,
15 and the floor is yours.

16 ABBY ANDERSON: Good morning. I am going to go
17 first, and then Eric is going to go second. May it
18 please the Commission, we felt we'd do our testimonies
19 and then take questions, if that works for you.

20 SCOTT JACKSON: Absolutely.

21 ABBY ANDERSON: So, good morning, and thank you
22 for the opportunity to speak with you. I'm here today,
23 actually, wearing two hats. One as Connecticut
24 Juvenile Justice Alliance, Executive Director; and two,
25 as the Keep The Promise Coalition Children's Committee

1 Co-Chair along with Eric.

2 Eric is the expert in mental health, and he's
3 going to focus on that while I'm going to talk more
4 about how juvenile justice and mental health systems
5 are related.

6 My focus is mainly going to be on things that
7 aren't going well, but my intention is not to point
8 fingers. We know that the vast majority of people in
9 state offices, schools, police departments and those
10 working for community providers are doing the best they
11 can and want what is right for kids in community. It's
12 the system themselves that we find broken. But the
13 thing is I've seen Connecticut's juvenile justice
14 system transform itself, so I know that when we work
15 together, system improvement is possible.

16 I want to talk a little bit this morning about
17 what we mean when we say children's mental health,
18 explain why failures in the children's mental health
19 system mean kids end up in the juvenile justice system
20 and talk about why that matters both morally and
21 economically.

22 Let's start with a question. What is a picture
23 you get in your head of a child who struggles with
24 mental health, a child who needs mental health
25 services? In response to recent calls for a registry

1 of those with mental health issues, I've taken to
2 saying, well, we do a census every ten years, let's
3 just use that because mental health is a continuum, and
4 nearly all of us will struggle with our mental health
5 at one point in our lives. Some of us will struggle
6 more severely or more often than others, but few will
7 never struggle at all. When we, as a society, continue
8 to think of those with mental health issues as an us
9 versus them instead of thinking of mental health as a
10 we, fear and ignorance wins. And fear and ignorance
11 lead to shame, denial and bullying on a individual
12 level and lack of appropriate services, funding and
13 supports on a systemic level.

14 Think for a moment about your life as adults. We
15 all hit rough patches. We have a sick relative who
16 requires a lot of time and attention, a family member
17 or friend dies, and we grieve. We go through a divorce
18 or we suffer from depression. And when we go through
19 rough patches as an adult, we tend to be distracted,
20 irritable, exhausted and not that fun to be around.
21 It's hard to concentrate at work. I think we've all
22 had that coworker or family member go through something
23 and be a bear to live with, but we think, well, his mom
24 just died. I need to give him some space. But what
25 happens when a kids guy through a rough patch? In all

1 of those scenarios mentioned, there are often children
2 involved. And for the child, there are a lot of I
3 don't knows. The child might not really know or
4 understand what's going on. The other adults in the
5 child's life, like the teacher, might not know what's
6 going on, and the child might not know how to express
7 his or her feelings or even if it is okay to express
8 his or her feelings. And the child certainly hasn't
9 learned healthy, productive ways to manage his
10 feelings.

11 I have anxiety issues, and when they flare up, I
12 need to go for a run or do a hard workout. I need to
13 journal and seek out friends. I'm in my late 30s, and
14 I've only figured out this formula recently with the
15 help of a therapist.

16 Children certainly don't have those skills, and
17 they rarely have a therapist. And while the scenarios
18 we mentioned above about divorce and sickness are real
19 and valid, we know that many children in Connecticut
20 are also dealing with anxiety that results from
21 worrying about having enough to eat or an arrested
22 parent or witnessing or experiencing violence. And
23 some kids or adults aren't experiencing serious mental
24 illness because of a situation or their environment,
25 it's simply part of their genetic makeup like the color

1 of their hair or their aptitude for math.

2 So, children are dealing with their feelings and
3 their I don't knows, and their behaviors reflect that.
4 They may be exhausted, irritable or distracted. They
5 may have mood swings. Teenagers might start smoking
6 pot or abusing prescription drugs. At school, an
7 irritable, distracted and tired student is tough for a
8 teacher. That behavior is most often interpreted as
9 disruptive, unruly or bad. Unlike you and I being able
10 to recognize our coworker is going through something
11 and giving them a pass, the folks in the schools don't
12 have the time, training, resources or incentives to
13 think what's going on with this kid. What might be
14 underlying his behavior? Instead, unfortunately, the
15 child is labeled a problem and suspensions, expulsions
16 and arrests tend to result.

17 Now, I don't mean to blame or demeanize schools.
18 The vast, vast majority of personnel are doing the
19 absolute best they can in a system that simply isn't
20 set up, funded or resourced to help them help every
21 child succeed.

22 So, what's the alternative? Imagine we have the
23 knowledge, resources and time to ask what's going on
24 with you and figure out how we can help. What if we
25 could address the issue head-on now and help this child

1 understand what he or she is feeling, then develop some
2 coming tools. What if we could help the child and
3 those around him or her learn about self-regulating and
4 prevent, in some cases, those issues escalating into
5 true high-risk, high-need situations that end up in the
6 courts.

7 Now, I know what you're thinking. Abby, that
8 sounds really hard. And usually things that are really
9 hard are really expensive. Well, changing how we do
10 things is hard, and it takes money, but I believe we
11 would find it to be a financial gain or at least
12 neutral.

13 The juvenile justice system invests far more now
14 in community-based front-end services than it used to,
15 and it is now smaller and more effective than it used
16 to be. Critically, even after the system put all of
17 those funds into front-end services, the overall budget
18 after inflation adjustments is smaller now than it was
19 ten years ago, as you can see in this chart done by the
20 Justice Policy Institute. And I see that the Mac to PC
21 didn't work that well, but hopefully on your handout,
22 it's correctly lined up.

23 So, I know that we can change effectively and
24 efficiently. And as I said, the juvenile justice and
25 mental health systems are very closely related. You

1 see, the mission of the Connecticut Juvenile Justice
2 Alliance is two-fold. We want to keep kids out of the
3 system, and we want to make sure that those who come in
4 find a system that is safe, fair and effective.

5 We have lately concentrated on narrowing two paths
6 into the juvenile justice system; education and mental
7 health. And through our work, we've learned that the
8 number of kids who are a true public safety risk,
9 without underlying mitigating factors, are far
10 outnumbered by the kids who have a lot of needs that
11 when unmet or unrecognized, finally escalating to a
12 point where they acted out or the other systems they
13 were in simply gave up.

14 In terms of education, arrests in school occur
15 much more frequently than most people realize. Last
16 year they accounted for a full 19 percent of referrals
17 to juvenile courts statewide. And contrary to popular
18 belief, these arrests weren't from violent, drug or
19 weapon-related incidents, but the vast majority were
20 for minor, nonviolent misbehavior, typical adolescent
21 behavior like fighting, smoking cigarettes, talking
22 back and violating the dress code. Certainly, we do
23 not condone fighting, disrespecting a teacher or
24 disrupting a classroom, but we know that there are more
25 effective and less expensive ways to address a young

1 person's behavior. We also know that the children most
2 likely to be arrested at school in our state are the
3 ones statistically most likely to be experiencing
4 stress, trauma and mental health issues.

5 As this graphic, with terrific data from
6 Connecticut Voices for Children shows, black children
7 are four times as likely to be arrested than white
8 peers in schools. Hispanic and special education
9 children are three times more likely. And children in
10 the poorest districts are nine times more likely to be
11 arrested in school than their peers. Is this because
12 children of color and children in cities are just
13 inherently bad apples? Well, the research doesn't tell
14 us that and neither does common sense. But common
15 sense tells us that wealthier districts and communities
16 are apt to have smaller class sizes, more support
17 staff, and a broader array of resources.

18 There is a name for this phenomenon of school
19 arrests that we don't use. It's called the school to
20 prison pipeline, but I mention it here because it
21 highlights a challenge. That term points the finger
22 solely at schools to blame. But as I mentioned
23 earlier, the whole system needs work.

24 So, how do we break down barriers between
25 communities and schools, stop the cycle of blame and

1 achieve better outcomes? We have two recommendations
2 to those ends. First, require memorandums of
3 agreement, and second, improve data collection. If
4 police are going to be in the schools, their role must
5 be clear to everyone involved. Officers must not
6 become default disciplinarians.

7 So, in some Connecticut towns, the superintendent,
8 police chief, juvenile judge, Youth Service Bureau,
9 D.C.F. and service providers sat down to create a
10 memorandum of agreement between the police and schools
11 determining roles and responsibilities, and they
12 created a graduated response model to clearly outline
13 how different behaviors would be dealt with, preserving
14 arrests for the last resort, rare occasions. The
15 results of that work are clear. In one year,
16 Manchester reduced the number of school-based arrests
17 61 percent across the district. And Windham reduced
18 arrests 34 percent across the district. Hartford and
19 Bridgeport have also recently signed memorandums of
20 agreement and are starting to see significant
21 reductions in their student arrests.

22 Interestingly, we learned this process wasn't
23 primarily about money. It was about communication.
24 Schools would say, we'd love not to arrest for minor
25 drug offenses, but we don't know about other options;

1 or when a child is out of control, we don't know what
2 to do besides call 911. So, during these
3 conversations, community members can often offer
4 recourse and ideas. Eric is going to talk more about
5 some of those resources, like the Child Health
6 Development Institute School-Based Diversion
7 Initiative, and it focuses on educating schools and
8 school personnel about emergency mobile psychiatric
9 services. We shorthand that in our office to say call
10 211, not 911.

11 Legislation that we testified for here last Friday
12 would require districts to have a memorandum of
13 agreement in place if they are going to have police in
14 school. It's a great first step. It also requires
15 data collection which we need so we know what's really
16 going on. We have some data now, as you have seen.
17 The courts have started collecting data which shows one
18 part of the picture. And Connecticut Voices For
19 Children did one year's worth of analysis compiling and
20 sorting of SDE numbers, but that isn't feasible
21 annually or realistic for a community to be able to
22 mark its own progress.

23 But what does this have to do with mental health?
24 We are arresting kids who could have been kept out of
25 the juvenile justice system if only their mental health

1 needs were addressed. Do all arrested kids have mental
2 health needs, and could they all have been kept out of
3 the system? No. But I can tell you that one of the
4 hottest topics in the world of juvenile justice
5 nationally is making sure that systems are trauma
6 informed. The percentage of kids in the juvenile
7 justice system with trauma needs is remarkably high.
8 Well over half the boys, and virtually all of girls in
9 our system screen for having experienced at least one
10 and usually multiple significant traumas. But if we
11 know that so many kids in the juvenile justice system
12 come in with trauma issues, why aren't we taking the
13 steps to address those issues earlier instead of
14 waiting until they get arrested? Isn't that like
15 seeing the local playground covered with glass and
16 instead of picking it up, stocking up on Neosporin and
17 Band-Aids before recess?

18 Putting a child who already has trauma issues into
19 a punitive system, maybe including stays at detention
20 or another facility, can further traumatize him or her
21 and negatively affect their future behavior.

22 Obviously, preventing trauma in the first place is the
23 obvious answer, which is complicated and a bigger issue
24 than even I will tackle here, but there are certainly
25 ways to deal with a child's trauma before they come

1 into the juvenile justice system.

2 As you have heard this morning, Connecticut has
3 some terrific trauma services for children, but not
4 enough. I recently heard a story from a teacher in New
5 Haven. She mentioned offhand that there was a dead
6 body outside of her school a few weeks back and how the
7 police were there all day dealing with the corpse. How
8 many kids at that school were not traumatized that day,
9 and how many of them do we think will ever get the
10 services they need? But what does that lack of
11 services mean broader than student arrests? I know you
12 heard from Vicky Veltri recently, and her report
13 discusses something we hear a lot, that there are some
14 community-based in-home mental health services for
15 children that are evidence-based, but they are
16 available on a small scale and not for everyone. Kids
17 who don't get what they need may cycle from emergency
18 departments, back home and back to the ER and then
19 perhaps enter D.C.F. care, and, surprisingly, a high
20 number of those who do enter D.C.F. care end up
21 arrested.

22 In 2012, 451 youths in D.C.F. placement were
23 arrested. That's alarming. More alarming is the fact
24 that there is a 20 percent increase in arrests during
25 the second half of the year. This problem is growing.

1 Now, let's remember these are young people who are
2 removed from their homes because of abuse or neglect,
3 by definition a traumatic event, kids who required
4 residential treatment setting for behavioral health
5 issues, and then some juvenile justice involved kids.
6 And they are being arrested, often times, for the same
7 behaviors that originally led to their placement. So,
8 this tells us that even the programs and facilities
9 that we have for our children and youths aren't
10 currently providing the right kind of services. And so
11 those kids who began their journey in state care
12 because they needed just that, care, become delinquent,
13 pushed farther away from help and opportunities. But
14 that's not a knock against our juvenile justice system,
15 and it's not to say that juvenile justice -- that the
16 kids in juvenile justice system are a lost cause. But
17 it's our job to help these children in removing the
18 barriers they face in their lives, to help them find
19 ways around and through the barriers their environment
20 or mental health issues have created, not to create
21 thicker, higher walls for them to scale, which is what
22 we do when they put them in the juvenile justice
23 system.

24 Now, I'm an advocate, and I can rightfully be
25 accused of having a bleeding heart sometimes. So, I

1 went to the people in the juvenile justice system and
2 put this scenario in front of them and I said, I really
3 feel like you guys are dealing with a lot of kids who
4 never really needed to be here. They have mental
5 health needs, and we could have prevented their
6 entering your system. So, tell me honestly, is my
7 leftist tendency running away from me on this one? And
8 they looked at me and said, you know what, Abby, not
9 this time. You're petty on target. The kids we have
10 seen have always had a lot of mental health issues.
11 But the kids we deal with now, they have more complex
12 needs than ever. Now, that's not good news, except in
13 a way it is because as you're probably aware, the
14 juvenile justice system in Connecticut is dealing with
15 a new cadre of older youth for the first time.

16 I am very proud to have been part of the Raise the
17 Age Campaign to get 16 and 17 year-olds included in the
18 JJ System. From 2010 to 2012, this change has meant
19 that just under 12,800 kids were kept out of the adult
20 system. Now, it's great that we're keeping these kids
21 in a system that offers both rehabilitation and
22 punishment instead of a simply punitive approach. But
23 when we talk to people in the juvenile justice system,
24 we still ask, how many of these kids truly need the
25 punishment piece of that rubric?

1 Let me be clear. There are kids who are a
2 legitimate risk to public safety. Some of those kids
3 may also have mental health needs. The juvenile
4 justice system is clearly the appropriate place for
5 them. But what about the kid whose mental health
6 issues went untreated or poorly treated? I'm talking
7 about a girl who was removed from her home at 12
8 because her mom's boyfriend was raping her. By the
9 time she turned 15, she had been in six different
10 placements, obviously never able to develop deep
11 therapeutic relationships, and she's angry. So, at her
12 latest group home, she gets into a fight with another
13 girl and punches her in the face. Is that okay? No.
14 But is this a kid who really needs the juvenile justice
15 system? Is putting her in yet another system and
16 placement really the way we're going to help her become
17 a fully participating positive member of the community?
18 I don't think so. We need to hold her accountable to
19 her actions, but we also need to hold ourselves
20 accountable because how much blame do we, as a
21 community and system, have to shoulder for the
22 situation she is now in.

23 Let me make one last point and circle back to when
24 I asked you to picture what a child with mental health
25 needs looks like. I'm going to guess that the child

1 you're picturing is white. When we look at the data,
2 the kids in our psychiatric hospital, Solnit or
3 Riverview, are more likely to be white. And the kids
4 in our prison, Connecticut Juvenile Training School,
5 are disproportionately black. Now, do I think this is
6 because we're consciously racist and making consciously
7 race-based decisions? No. But we are conditioned and
8 trained in a society.

9 I have two brothers; one is black, one is brown.
10 And when I picture the kid with mental health needs in
11 my head, he's white. Even with a multi-ethnic family
12 and an admittedly bleeding heart, I noticed these
13 tendencies of thought based on stereotypes in myself.
14 We need to make sure that our system is cognizant of
15 these tendencies and consciously plans and strategizes
16 to ensure we are making decisions about our views based
17 on risk and need and not based on the color of their
18 skin, subconsciously or not.

19 So, to close, let me quickly summarize my
20 recommendations. We need to take action to reduce
21 arrests in schools by collecting data and requiring
22 districts with police to have memorandums of agreement.
23 We need to significantly expand access to trauma
24 services for children. We need to require D.C.F. to
25 examine its continuum of services, its continuous

1 quality control and contracting procedures to reduce
2 the number of arrests out of its facilities.

3 And I have two additional recommendations. One,
4 we have to have systems that work together. We need
5 appropriations to acknowledge and support collaboration
6 and cooperation across agencies so that children don't
7 fall through the cracks while agencies try to determine
8 who is responsible for his or her care. Who pays for
9 autism, D.C.F. or DDS? Who pays for programs
10 specifically designed to divert children from court,
11 CSSD, D.C.F., SDE? Agency heads have to be good
12 stewards of their funds, but we have to stop putting
13 them in the position of having to figure out which
14 group of children isn't their responsibility, and we
15 need better data analysis collecting and reporting.

16 For years, funds have been cut for anything that
17 isn't direct services for children, which means that
18 the computers at some state agencies are literally
19 older than I am. Right now in Alliance, my giant staff
20 of three is doing some of the data collection and
21 analysis for the Department of Children & Families
22 because they don't have the capacity. Now, bless them
23 for working with us in that way, but shame on us as a
24 state for needing a group like mine to play that role.

25 Without good data, how do we know what services we

1 need, what services are working? How do we know how
2 and where to appropriate funds? Right now there are a
3 lot of answers around children's mental and its overlap
4 with foster care and juvenile justice that we just
5 don't have, and that's unacceptable.

6 To close, let me say I know I've laid out a
7 picture that is pretty grim. It's my job to always
8 push the system to do better, but I am confident in
9 doing that because I've seen Connecticut make amazing
10 progress on the juvenile justice side, where while
11 everything isn't perfect, we have come a long way and
12 seen good outcomes and been economically cost
13 conscious.

14 Connecticut has some amazing progressive programs
15 for children's mental health, so I know that we have
16 the ability to expand the reach of those programs. We
17 have a solid foundation in this state, and we can build
18 upon it, but a foundation isn't enough. It doesn't
19 protect you from wind and rain. You need a roof and
20 walls for that. It's time for Connecticut to build the
21 roof and walls of its mental health system for children
22 in order to keep those children safe. I'm going to
23 turn it over to Eric.

24
25 (Hearing continues)

C E R T I F I C A T E

I, Christine E. Borrelli, a Notary Public and Licensed Court Reporter for the State of Connecticut, do hereby certify that the foregoing hearing of the March 12, 2013 Sandy Hook Advisory Committee was transcribed by me via electronic and video recording.

I further certify that I am not related to the parties hereto, and that I am not in any way interested in the events of said cause.

Witness my hand this 8th day of November, 2013.

Christine E. Borrelli
Notary Public
RMR, RPR
CT License No. 117

My Commission Expires:
June 30, 2016